

Chapter 3

Fiscal Plan

3. FISCAL PLAN

The *FY 2007-2012 TIP*¹ covers the current federal transportation authorization act, *SAFETEA-LU* (FY 2005-09), and assumes a continuation of the appropriations for FY 2007 through 2012. TIP funding levels also reflect the passage by the State of Illinois of continuing transportation programs.

The program development has been guided, as in the past, by an extensive analysis of financial resources available to the region. Included in the financial plan are resources from *SAFETEA-LU*, motor fuel taxes (MFT), bonding authority of the State of Illinois, and taxing and bonding authority of the Regional Transportation Authority (RTA).

The fund categories, which are displayed in column 1 of Table 3-1, include the following:

- Interstate (FAI, or I-M in the project listings);
- National Highway System (NHS);
- Bridge funding (HBRRP, or BRR in the project listings);
- Surface Transportation Program (STP);
- High Priority Projects (HPP);
- Congestion Mitigation and Air Quality Improvement Program (CMAQ);
- FTA formula transit funds sections 5307 and 5309(m)(1)(A) (FTA in project listings);
- FTA Section 5310 (E/H);
- New Starts (Section 5309(m)(C) , or FNS); and
- Highway / Rail Safety Improvement Program (HSIP).

The resources for the *FY 2007-2012 TIP* are displayed in two sections of Table 3-1: statewide funds and regionwide funding. The statewide section presents the major categorical funds received through the Federal Highway Administration (FHWA) and state funding. Programmed projects funded from the statewide resource section are initiated by the Illinois Department of Transportation (IDOT). The STP and CMAQ funds are allocations to Illinois that are distributed based on federal guidelines. The STP program has a specific set-aside for the transportation enhancement program. The CMAQ program is designated for areas that are in nonattainment of National Ambient Air Quality Standards. CMAQ funds are for projects to help meet those standards.

The regionwide section presents other categorical funds received through the FHWA and the Federal Transit Administration (FTA). This funding is derived from distribution criteria for the various programs outlined in *SAFETEA-LU* and through funding distributions as defined by Illinois statute.

Estimates of federal fund amounts and required local match are shown in separate columns. The FY 2007-2012 estimates are based upon appropriation levels from *SAFETEA-LU* as estimated by the FHWA. The authorization level defines the basic level of federal transportation funding, and the general legislative framework and congressional priorities for transportation. These figures are subject to reductions during the annual appropriations process through the imposition of obligation ceilings and rescissions, which are used as a federal budget balancing mechanism.

IDOT's Office of Planning and Programming reviewed the figures for the statewide funding categories. The Regional Transportation Authority prepared the estimates for regionwide transit funding. CATS developed the STP and CMAQ estimates in conjunction with IDOT. The high priority projects reflect congressional earmarks contained in *SAFETEA-LU* and individual appropriations bills for projects.

¹ In this chapter, fiscal years refer to federal fiscal years unless otherwise noted.

Table 3 -1
Chicago Metropolitan Agency for Planning
Preliminary State / Regional Resources
All Figures are in millions \$

(Statewide) (A)(1)	FFY 2009			FFY 2010			FFY 2011			FFY 2012			FFY 2013			Summary FFY 2009-2013		
	Federal	Match Needs	Total	Federal	Match Needs	Total	Federal	Match Needs	Total	Federal	Match Needs	Total	Federal	Match Needs	Total	Federal	Match Needs	Total
FAI Maintenance	264.520	29.391	293.911	264.520	29.391	293.911	264.520	29.391	293.911	264.520	29.391	293.911	264.520	29.391	293.911	1,322.600	146.956	1,469.556
FAI Maintenance (Disc)																		
NHS	225.548	56.387	281.935	225.548	56.387	281.935	225.548	56.387	281.935	225.548	56.387	281.935	225.548	56.387	281.935	1,127.740	281.935	1,409.675
HBRRP	145.934	36.484	182.418	145.934	36.484	182.418	145.934	36.484	182.418	145.934	36.484	182.418	145.934	36.484	182.418	729.670	182.418	912.088
Equity Bonus	92.676	23.169	115.845	92.676	23.169	115.845	92.676	23.169	115.845	92.676	23.169	115.845	92.676	23.169	115.845	463.380	115.845	579.225
STP	98.900	24.725	123.625	98.900	24.725	123.625	98.900	24.725	123.625	98.900	24.725	123.625	98.900	24.725	123.625	494.500	123.625	618.125
Safety (HSIP)	45.459	5.051	50.510	45.459	5.051	50.510	45.459	5.051	50.510	45.459	5.051	50.510	45.459	5.051	50.510	227.295	25.255	252.550
Safety (RR Xing)	10.157	1.129	11.286	10.157	1.129	11.286	10.157	1.129	11.286	10.157	1.129	11.286	10.157	1.129	11.286	50.785	5.643	56.428
STP (Enhancement 10%)	29.204	7.301	36.505	29.204	7.301	36.505	29.204	7.301	36.505	29.204	7.301	36.505	29.204	7.301	36.505	146.020	36.505	182.525
STP (Enhancement (ARRA))	28.068	0.000	28.068	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	28.068	0.000	28.068
High Priority Projects	119.110	0.000	119.110	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	119.110	0.000	119.110
Recreational Trails	1.769	0.442	2.211	1.769	0.442	2.211	1.769	0.442	2.211	1.769	0.442	2.211	1.769	0.442	2.211	8.845	2.211	11.056
Statewide (ARRA Funds)	635.267	0.000	635.267	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	635.267	0.000	635.267
	1,696.612	184.078	1,880.690	914.167	184.078	1,098.245	914.167	184.078	1,098.245	914.167	184.078	1,098.245	914.167	184.078	1,098.245	5,353.280	920.392	6,273.672
Match Resources / State Only (B) (8) >>>		1,612.500	1,612.500		987.000	987.000		1,024.800	1,024.800		1,024.800	1,024.800		1,024.800	1,024.800		5,673.900	5,673.900
(Regionwide FHWA) (2)																		
STP Local	105.977	26.494	132.471	105.977	26.494	132.471	105.977	26.494	132.471	105.977	26.494	132.471	105.977	22.821	114.103	529.885	128.798	643.988
STP Counties	2.947	0.737	3.684	2.947	0.737	3.684	2.947	0.737	3.684	2.947	0.737	3.684	2.947	0.737	3.684	14.735	3.684	18.419
CMAQ (MPO Region)	91.219	22.805	114.024	91.219	22.805	114.024	91.219	22.805	114.024	91.219	22.805	114.024	91.219	22.805	114.024	456.095	114.024	570.119
High Priority Projects	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Regional (ARRA Funds)	179.933	0.000	179.933	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	179.933	0.000	179.933
	380.076	50.036	430.112	200.143	50.036	250.179	200.143	50.036	250.179	200.143	50.036	250.179	200.143	46.363	231.811	1,180.648	246.506	1,412.459
Match Resources (Local) (3)		382.118	382.118		382.118	382.118		382.118	382.118		382.118	382.118		382.118	382.118		1,910.590	1,910.590
(Regionwide FTA) (C)																		
Sect. 5307/ 5340	241.173	60.293	301.466	254.400	63.600	318.000	264.600	66.150	330.750	275.200	68.800	344.000	286.200	71.550	357.750	1,321.573	330.393	1,651.966
Sect. 5307 (4)	(75.613)	(18.903)	(94.517)	(89.738)	(22.435)	(112.173)	(103.775)	(25.944)	(129.719)	(115.094)	(28.774)	(143.868)	(115.107)	(28.777)	(143.884)	(499.327)	(124.832)	(624.159)
Sect. 5309(m)(2)(B)	166.019	41.505	207.524	173.800	43.450	217.250	180.700	45.175	225.875	188.000	47.000	235.000	195.600	48.900	244.500	904.119	226.030	1,130.149
Sect. 5309(m)(2)(A) (New Start) (5)	59.870	14.967	74.837	0.305	0.076	0.381	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	60.174	15.044	75.218
Sect. 5309(m)(2)(C)	6.388	1.597	7.985	1.500	0.375	1.875	1.500	0.375	1.875	1.500	0.375	1.875	1.500	0.375	1.875	12.388	3.097	15.485
Sect. 5339 (Alternatives Analysis)	0.523	0.131	0.653	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.523	0.131	0.653
Sect. 5307/Sect. 5309 (ARRA Funds)	414.193	0.000	414.193	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	414.193	0.000	414.193
Preventive Maintenance (Federal) (4)	(128.456)	(32.114)	(160.569)	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	(128.456)	(32.114)	(160.569)
	684.096	67.476	751.572	340.267	85.067	425.333	343.025	85.756	428.781	349.606	87.402	437.008	368.193	92.048	460.241	2,085.187	417.749	2,502.936
Match Resources / RTA (6)(7)		995.668	995.668		661.307	661.307		688.448	688.448		515.692	515.692		518.014	518.014		3,379.130	3,379.130

Notes: A- FHWA SAFETEA-LU apportionments for FFY 2009, FHWA, Illinois Div., Springfield.
 B- State matching resurces for FY 2010 through 2015; from the Proposed Highway Improvement Program; IDOT
 C- FTA estimates are from the FY 2009 through 2018 Capital Plan Funding Marks; RTA, July16, 2009
 1- Statewide figures are based upon SAFETEA-LU apportionments from FHWA to the states.
 All forecasts assume SAFETEA-LU authorization levels. Fund estimates for FY 2010 through
 FY 2013 utilize the estimates for FFY 2009. Regional amounts of IDOT statewide funds will vary
 based upon project readiness, and are subject to IDOT priorities and obligation ceilings.
 2- Regional figures are based on setasides for local programming, designated program funds and
 apportionment estimates for FTA programs.
 3- Local match resources for regionally funded programs are from state MFT distributions as set by
 state law for counties and municipalities.

4- Sect 5307 is reduced by the estimated amounts for debt service and
 Preventive Maintenance.
 5- New Start funding for FY 2009 are estimates for eligible projects and FFGA's.
 6- RTA match sources are from regional / State taxes, operating funds, bonding authority
 and Tollway Credits.
 7- RTA estimates are based on FFY 2009 FTA apportionments and actions by the
 the Illinois Legislature.
 8- Additional appropriation from Road Fund and Series A bonds.
 a- Statewide figures are subject to revision. The major fund categories include Equity Bonus
 distributions.

A. Statewide Resources

Categories such as Interstate, NHS, Bridge and portions of the STP program are programmed by the State based upon statewide needs, project readiness, and IDOT priorities. Resources shown in Table 3-1 are subject to an obligation ceiling. Carryover funds from previous years, i.e. remaining apportionments, are not included as part of the estimates.

The statewide federal and local estimates presented total \$8.361 billion, consisting of \$5.666 billion in federal funds and \$1.147 billion in required matching funds for the six-year period. The total local resources (motor fuel taxes and vehicle licensing fees) estimated for the six-year period are \$2.695 billion. The funding estimates are outlined in IDOT's *Proposed Highway Program for FY 2007-2012*.

B. Regionwide Resources

The regionwide resource figures are divided into funds received through the FHWA and funds received through the FTA. In summary, the regional FHWA programs from categorical funds distributed to northeastern Illinois for the six-year period totals \$3.69 billion. This represents \$1.43 billion in federal funds and \$2.256 billion in local matching funds.

A primary source of local funding is the allocation of state-collected MFT funds. Estimates of MFT funds returned to the municipalities and counties are displayed in Table 3-1. The amounts shown as "Match Resources (local)" represent the base funding available for matching federal funds. The MFT redistribution for FY 2006 totaled \$376.0 million. Additional match resources come from city and county motor fuel taxes and tax resources assessed by the local governmental bodies. These resources are not included as part of the match resources. A summary of local resources is presented in Table 3-9.

The second section of the regionwide resource table displays the estimated FTA funding. This section covers the estimates for section 5309 and section 5307 funds administered by the FTA. These funds are designated for capital funding for rail modernization and bus and rail capital funding. Other parts of Section 5309 cover discretionary capital funding for buses and "New Start" funds. For the six-year period, the funding for transit totals \$5.463 billion. This includes \$1.912 billion in federal funds, with an estimated \$554 million required in matching funds. The total local funding estimated for the six-year period is \$3.551 billion. This funding estimate includes projections for "New Start" funding. This category, along with the discretionary bus funding, is in competition with all other projects approved for this funding in *SAFETEA-LU*.

The Illinois General Assembly has established a mass transportation fund, which distributes funding throughout the state. The RTA's 2005 audit report shows that local and state taxes to support mass transit totaled \$1.079 billion. The collection of fares and other revenues totaled \$823 million.

C. Regional Program Guidelines

The Locally Programmed STP is accomplished through the Council of Mayors. This element is monitored throughout the year to ensure equitable receipt of funds and to ensure timely expenditures by the subregions. The program is also monitored to ensure that transportation improvements reflect area needs and

federal goals and objectives. Table 3-3 summarizes the Council balances and projected funds available. Estimates for the CMAQ program are developed in conjunction with IDOT's Office of Planning and Programming. Current estimates project this fund to total \$90.5 million for FY 07. This program is selected on an annual basis.

D. FY 2007-2012 Program

As noted in the resources section of this chapter, the *FY 2007-12 TIP* consists of statewide and regionwide parts. The statewide section contains projects funded with resources eligible for statewide use; the regionwide section contains formula programs.

A summary of the program is presented in Tables 3-5 and 3-6. The tables consist of the statewide resources and regionwide resources presented in Table 3-1 and a summary of the *FY 2007-12 TIP* by fund source. Also summarized are the state-only resources and program. Resources listed in Table 3-5 do not include carry-over from previous years. Carry-over for the FY 2007 program is shown in Table 3-4. Table 3-2 shows that, when carry-over is added to the annual resources and the programmed amounts subtracted, the difference is positive and the program fiscally constrained. At the time of adoption in October, 2006, the FY 07-12 TIP total federal cost is at approximately \$4.4 billion and the federal resources available to the region total approximately \$7.6 billion.

For high priority projects [HPP] *SAFETEA-LU* provided a total of \$66.8 million in program funds for regionally designated projects in FY 07. FY 07 represents the third year of this program's funding. Current programs consist of the remaining *TEA-21* projects and the new HPP projects from *SAFETEA-LU*. While HPP funds are distributed on an approximately even basis throughout *SAFETEA-LU*; it is anticipated that some of the projects will be accomplished through advanced construction or individual project sponsors will supplement their projects with other federal funds.

Table 3-2

Fiscal Constraint Demonstration: FY 07 Program: FHWA and FTA Funds, Federal Dollars

Category	Annual Resources	carry-over	Total	Program	Balance
FAI	\$253,738,000	NA	\$253,738,000	\$177,086,000	\$76,652,000
NHS	\$217,534,000	NA	\$217,534,000	\$88,534,000	\$129,000,000
HBRRP	\$154,089,000	NA	\$154,089,000	\$27,035,000	\$127,054,000
STP	\$96,428,000	NA	\$96,428,000	\$1,184,000	\$95,244,000
STP-Safety	\$52,578,000	NA	\$52,578,000	\$3,257,000	\$49,321,000
Enhancement	\$27,298,000	\$4,154,000	\$31,452,000	\$27,833,000	\$3,619,000
STP-Local	\$97,274,000	\$128,591,000	\$225,865,000	\$111,853,000	\$114,012,000
STP-County	\$3,697,000	\$13,889,000	\$17,586,000	\$0	\$17,586,000
CMAQ	\$90,512,000	\$-7,525,000	\$82,987,000	\$18,001,113	\$64,985,887
HPP	NA	NA	\$153,056,000	\$66,842,000	\$86,214,000
FTA	\$438,557,000	NA	\$438,557,000	\$425,775,000	\$12,782,000

The CMAQ program displays a negative value through FY 06. This is the result of cost increases and rescissions mandated by Congressional budget balancing initiatives. Commitments to all selected projects have been maintained with further adjustments to the program to occur in the FY 07 selection process. The

Congressional mandated rescissions have had an effect on all federal programs received by the State and the region. The local programs have had substantial reductions in the CMAQ, Transportation Enhancement and bridge funds. The regional transit program for FY 2007-12 is summarized in Table 3-6. Fiscal constraint for the federal fund sources is demonstrated by the total federal fund resources being greater than the federally funded total for each year. Fiscal constraint of the non-federal fund sources is demonstrated by the regional total of the “match” columns being greater than the “total match resources” for each year.

Table 3 - 3

Chicago Area Transportation Study

Estimate of Surface Transportation Program (STP) Funds

(All figures are in Federal Dollars except for Match Columns)

	Col. A	Col. B	Col. C	Col. D	Col. E	Col. F	Col. G	Col. H	Col. I	Col. J
	Program Status: FY 1972 - 2006			FY 07	FY 07	Estimated	FY 08	FY 08 +	FY 09	FY 10/11/12
	Allotments	Expenditures	Balance (1)	Estimated	Allotment	Allotment (Col E)	Estimated	Allotment	Estimated	Estimated
	FY 72- 06	FY 72- 06	1/12/2006	Allotment (2)	@ 85%	& 1/2 Col C	Allotment	& 1/2 Col C	Allotment	Allotment
Chicago	799,973,512	719,261,592	80,711,920	48,636,828	41,341,304	81,697,264	53,906,329	94,262,289	54,385,179	54,385,179
North Shore	68,011,163	67,852,064	159,099	3,075,413	2,614,101	2,839,945	3,408,615	3,634,459	3,438,893	3,438,893
Northwest	123,876,261	117,161,765	6,714,496	6,455,658	5,487,309	9,041,712	7,155,089	10,709,492	7,218,647	7,218,647
North Central	68,057,765	65,512,226	2,545,539	2,958,723	2,514,914	3,946,346	3,279,282	4,710,715	3,308,412	3,308,412
Central	49,058,709	50,126,814	(1,068,105)	2,362,027	2,007,723	1,498,094	2,617,938	2,108,309	2,641,193	2,641,193
Southwest	64,725,358	59,744,314	4,981,044	3,385,852	2,877,974	5,464,672	3,752,688	6,339,386	3,786,024	3,786,024
South	103,641,061	94,152,706	9,488,355	4,808,391	4,087,132	9,056,344	5,329,351	10,298,563	5,376,691	5,376,691
Subt	477,370,317	454,549,889	22,820,428	23,046,064	19,589,153	31,847,113	25,542,963	37,800,924	25,769,860	25,769,860
Du Page	145,218,144	137,135,141	8,083,003	8,434,929	7,169,690	11,222,658	9,348,801	13,401,770	9,431,847	9,431,847
Kane	68,401,133	65,155,176	3,245,957	4,611,847	3,920,070	6,475,615	5,111,512	7,667,057	5,156,918	5,156,918
Lake	93,339,035	89,365,800	3,973,235	6,001,849	5,101,571	6,921,839	6,652,112	8,472,380	6,711,203	6,711,203
Mc Henry	27,398,857	23,720,897	3,677,960	2,448,750	2,081,438	3,733,250	2,714,057	4,365,869	2,738,166	2,738,166
Will	55,629,814	51,885,487	3,744,327	4,093,390	3,479,381	5,080,422	4,536,883	6,137,924	4,577,185	4,577,185
Subt	389,986,983	367,262,501	22,724,482	25,590,765	21,752,150	33,433,784	28,363,365	40,045,000	28,615,319	28,615,319
Suburb Total	867,357,300	821,812,390	45,544,910	48,636,829	41,341,303	65,280,897	53,906,328	77,845,924	54,385,179	54,385,179
Region Total	1,667,330,812	1,541,073,982	126,256,830	97,273,657	82,682,607	146,978,161	107,812,657	172,108,213	108,770,358	108,770,358

Notes: 1- Project expenditures include FFY 06 awards and obligations as of July , 2006.

2- The FFY 07 allotment reflects the estimated STP funds to NE Ill based on the FHWA Advanced apportionment estimates by FHWA, US Dot; and IDOT Local Roads, Springfield.

The Mark for FFY 07 is estimated at 85% of FY 07 funding and one-half of a councils remaining balance. It is assumed that will be an Obligation Ceiling of 85%. All Figures are in federal dollars.

July. 26, 2006

Table 3-4
CHICAGO AREA TRANSPORTATION STUDY
NE ILLINOIS - REGIONAL PROGRAM FUNDING - CARRYOVER ANALYSIS

STP - Local	FFY 72-06 Allotments	FFY 72-06 Expenditures (1) 7/8/2006	FFY 72-06 Balance 7/8/2006
Chicago	799,973,512	719,261,592	80,711,920
North Shore	68,011,163	67,852,064	159,099
Northwest	123,876,261	117,161,765	6,714,496
North Central	68,057,765	65,512,226	2,545,539
West Central	49,058,709	50,126,814	(1,068,105)
Southwest	64,725,358	59,744,314	4,981,044
South	103,641,061	94,152,706	9,488,355
Subt	477,370,317	454,549,889	22,820,428
DuPage	145,218,144	137,135,141	8,083,003
Kane	68,401,133	62,820,900	5,580,233
Lake	93,339,035	89,365,800	3,973,235
McHenry	27,398,857	23,720,897	3,677,960
Will	55,629,814	51,885,487	3,744,327
Subt	389,986,983	364,928,225	25,058,758
Suburban Summary	867,357,300	819,478,114	47,879,186
Regional Total	1,667,330,812	1,538,739,706	128,591,106

(1) - Project expenditures and estimates are as of June 26, 2006.

STP	FFY 92-06 Allotments	FFY 92-06 Expenditures (1)	FFY 92-06 Balance	Programmed	Balance	Balance Matched
Counties **	35,576,294	21,686,854	13,889,440	0	13,889,440	17,361,800

** Kane, Lake, McHenry and Will Counties Program this Source.

	FFY 92-06 Allotments	FFY 92-06 Expenditures (1)	FFY 92-06 Balance	Programmed	Balance	Balance Matched
CMAQ	944,861,149	739,172,536	205,688,613	213,214,031	(7,525,418)	(9,406,773)

	FFY 92-06 Allotments **	FFY 92-06 Expenditures (1)	FFY 92-06 Balance	Programmed **	Balance	Balance Matched
STP - Enhancement	82,780,367	78,625,922	4,154,445	0	4,154,445	5,193,056

** Costs reflect the original project estimates
The funding above is for locally programmed projects.

	FFY 98-2006 Allotments	FFY 98-2006 Expenditures (1)	FFY 00-06 Balance
High Priority Projects	321,595,750	168,539,618	153,056,132

(1) - Project expenditures are as of July., 2006.

The fiscal consistency is summarized in Tables 3-5 and 3-6. Table 3-5 assesses the FHWA funding and Table 3-6 assesses the FTA funding. In each case, the funding programmed for each year is below the resources estimated. The major funding categories (Interstate, NHS, STP and Bridge) programs presented reflect the IDOT *Proposed Highway Program FY 2007 – 2012*. The RTA program is based on the FY 2006 -2010 Capital Program Marks. The regional CMAQ project proposals for FY 07 will be considered by the Policy Committee at its October 2006 meeting.

Table 3-6 assesses the FTA programs. The currently defined programs for Section 5309(m)(1)(A) and Section 5307 are within the resources estimated. In the case of program funding for “New Starts” the program estimates represent funding distributions based on Full Funding Grant agreements for regional projects designated in *TEA-21*. As noted above, these projects are in competition with all the nationally approved projects in the Act. With the passage of *SAFETEA-LU*, 10 new projects were made eligible for New Start funding which are included in the 2030 Regional Transportation Plan. The projects are eligible for alternatives analysis and preliminary engineering.

The fiscal plan presents resources available to make improvements and develop the six-year program. Part of the process of defining resources is tracking the execution of previous programs. Table 3-7 displays program expenditures for funding components contained in former TIPs. This summary is developed from various state reports, project accounting for the various regional funding categories, and from annual and grant reports.

Table 3-7 summarizes awards for fiscal years 2000-2005. FY 2000-2005 expenditures reflect funding from *TEA-21*. In all cases, the funds shown reflect program awards and obligations. Not included as part of this summary are expenditures by the Illinois Tollway and local governmental units using non-federal resources.

A requirement under the *TEA-21* and continued in *SAFETEA-LU* is the assessment of the operation and maintenance of the transportation system. Each element of the system has developed a characteristic operating and maintenance budget system. The various jurisdictions develop their estimates for operations and maintenance through their budgeting process. The funding and projects presented in the TIP represent the capital improvement portion of the program.

In the case of transit for northeastern Illinois, the RTA develops a financial capacity analysis. Table 3-8 presents the capacity analysis for operations for the period 2000 to 2009. The figures presented are based on an assessment of the latest operating conditions and economic assessment in determining system costs and revenue projections.

Table 3-5
State / Regional Resource and Program Analysis
(All figures in millions)

AREA	Federal	Match	Total	Federal	Match	Total	Federal	Match	Total	Federal	Match	Total	Federal	Match	Summary
(Statewide Resources)	FFY 2007			FFY 2008			FFY 2009			FFY 2010 -12			Summary FFY 2007 - 2012		
FAI Maint	253.738	28.193	281.931	252.757	28.084	280.84111	255.681	28.409	284.090	767.043	85.227	852.270	1,529.219	169.913	1,699.132
NHS	217.534	54.384	271.918	220.178	55.044	275.222	222.722	55.681	278.403	668.166	167.042	835.208	1,328.600	332.151	1,660.751
HBRRP	154.089	38.522	192.611	164.864	41.216	206.08	166.770	41.692	208.463	500.310	125.078	625.388	986.033	246.508	1,232.541
Equity Bonus	88.092	22.023	110.115	95.239	23.81	119.049	110.546	29.637	138.183	331.639	82.910	414.549	625.516	158.380	783.896
High Priority Projects	51.077	12.769	63.846	51.077	12.769	63.846	51.077	12.769	63.846	0.000	0.000	0.000	153.231	38.307	191.538
STP (Note B)	96.428	24.107	120.535	77.939	19.485	97.423	79.000	19.750	98.750	237.000	59.250	296.250	490.367	122.592	612.959
Safety - Highway / Rail	52.578	5.842	58.420	55.444	6.161	61.604	56.046	6.228	62.274	168.138	18.683	186.821	332.206	36.914	369.120
STP (Enhance 10%)	27.298	6.824	34.122	27.415	6.854	34.269	27.732	6.933	34.665	83.196	20.799	103.995	165.641	41.410	207.051
Recreation Trails	1.946	0.486	2.432	2.067	0.517	2.584	2.198	0.549	2.747	6.594	1.648	8.242	12.805	3.200	16.005
Total	942.780	193.150	1,135.930	946.980	193.940	1,140.918	971.772	201.648	1,171.420	2,762.086	560.636	3,322.722	5,623.618	1,149.374	6,772.992
Match Resources >>>>>		689.000	689.000		401.200	401.200		401.200	401.200		1,203.600	1,203.600		2,695.000	2,695.000
(NE ILL. Statewide Program Summary)															
FAI Maint	177.086	22.753	199.839	17.401	6.409	23.810	50.343	12.841	63.184	4.920	1.230	6.150	249.750	43.233	292.983
NHS	54.654	56.013	110.667	39.160	10.790	49.950	1.080	32.120	33.200	2.640	1.560	4.200	97.534	100.483	198.017
HBRRP	27.035	7.913	34.948	41.559	12.306	53.865	73.600	18.800	92.400	58.126	18.663	76.789	200.320	57.682	258.002
Equity Bonus	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
High Priority Projects	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
STP	1.184	0.479	1.663	17.055	25.564	42.619	0.328	0.082	0.410	106.822	40.130	146.952	125.389	66.255	191.644
STP (Safety 10%)	1.810	0.369	2.179	0.000	0.000	0.000	0.000	0.000	0.000	0.742	0.158	0.900	2.552	0.527	3.079
STP (Enhance 10%) (Notes 1)	27.833	7.213	35.046	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	27.833	7.213	35.046
Program Summary	289.602	94.740	384.342	115.175	55.069	170.244	125.351	63.843	189.194	173.250	61.741	234.991	703.378	275.393	978.771
% of Statewide Total	30.72%	49.05%	33.84%	12.16%	28.39%	14.92%	12.90%	31.66%	16.15%	6.27%	11.01%	7.07%	12.51%	23.96%	14.45%
Illinois Only Program	263.811	263.811		152.987	152.987		219.226	219.226		249.46	249.46		885.484	885.484	
% of Illinois Only	38.29%	38.29%		38.13%	38.13%		54.64%	54.64%		20.73%	20.73%		32.86%	32.86%	
Regionwide Resources															
STP Local (Notes)	97.274	24.319	121.593	110.013	27.503	137.516	110.990	27.747	138.738	332.970	83.244	416.214	651.247	162.813	814.060
STP Counties >>>>>	3.697	0.924	4.621	4.182	1.046	5.228	4.219	1.055	5.274	12.657	3.165	15.822	24.755	6.190	30.945
CMAQ (MPO Region) (Notes)	90.512	22.628	113.140	90.837	22.709	113.546	91.887	22.972	114.859	275.661	68.916	344.577	548.897	137.225	686.122
High Priority Projects) (Notes)	66.842	16.711	83.553	66.842	16.711	83.553	66.842	16.711	83.553	0.000	0.000	0.000	200.526	50.133	250.659
Subt	248.590	62.148	310.738	271.874	67.969	339.843	273.938	68.485	342.424	621.288	155.325	776.613	1,425.425	356.361	1,781.786
Match Resources >>>>>		376.075			376.075			376.075			1,128.225			2,256.450	
Regional Program Summary															
STP Local (2)	108.704	73.772	182.476	76.49	50.389	126.879	66.787	39.384	106.171	81.916	51.492	133.408	333.897	215.037	548.934
STP Counties	0.000	0.000	0.000	0	0	0	0	0	0	0	0	0	0.000	0.000	0.000
CMAQ (MPO Region) (3)	18.002	4.501	22.503	8.652	2.163	10.815	6.59	1.647	8.237	0	0	0	33.244	8.311	41.555
High Priority Projects (4)	15.792	7.815	23.607	34.678	15.598	50.276	9.012	9.702	18.714	113.292	60.559	173.851	172.774	93.674	266.448
Program Summary	142.498	86.088	228.586	119.820	68.150	187.970	82.389	50.733	133.122	195.208	112.051	307.259	539.915	317.022	856.937
% of Regional Total	57.32%	22.89%		44.07%	18.12%		30.08%	13.49%		31.42%	9.93%		37.88%	14.05%	

- Notes: 1 - STP Enhancement - Enhancement projects for FY 07 are from carryover funding and new program selection.
2 - STP - STP funding for FY 07 does not include federal carryover funding estimated at \$ 128.591 M.
3 - CMAQ - Projects in the TIP are carryover projects funded from prior allocations and the FY 2007 program.
4 - HPP - The HPP program presents the program time line for this funding source. The current remaining estimate is show in Table 3- 4.

Table 3-6
Regional Transit Resources and Program Analysis
(All figures in millions)

AREA	FFY 2007			FFY 2008			FFY 2009			FFY 2010 - 2012 (Note A)			Summary FFY 2007 - 2012		
	Federal	Match	Total	Federal	Match	Total	Federal	Match	Total	Federal	Match	Total	Federal	Match	Summary
Resources															
Sect 9 Capital (Sect 5307)	211.672	52.918	264.590	229.554	57.389	286.943	244.187	61.047	305.234	244.187	61.047	305.234	929.600	232.400	1,162.000
Sect 3 Rail (Sect 5309(m)(1)(A))	150.661	37.665	188.326	159.849	39.962	199.811	167.117	41.779	208.896	167.117	41.779	208.896	644.744	161.186	805.930
Sect 3 Bus (Disc) (Sect 5309(m)(1)(C))	4.418	1.105	5.523	4.816	1.204	6.020	5.189	1.297	6.486	1.245	0.311	1.556	15.668	3.917	19.585
Sect 5339 (Alternative Analysis)	1.250	0.313	1.563	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	1.250	0.313	1.563
Deobligation/Reobligation (Note B)	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Subt.	368.001	92.001	460.002	394.219	98.555	492.774	416.493	104.123	520.616	412.549	103.137	515.686	1,591.262	397.816	1,989.078
Program Totals															
Federal Programs															
CTA	210.153	0.000	210.153	225.854	0.000	225.854	238.556	0.000	238.556	240.371	0.000	240.371	914.934	0.000	914.934
Metra	123.868	14.435	138.303	131.837	20.975	152.812	134.160	27.477	161.637	131.886	28.648	160.534	521.751	91.535	613.286
Pace	28.986	7.247	36.233	31.152	7.788	38.940	32.904	8.226	41.130	32.904	8.226	41.130	125.946	31.487	157.433
Disc Bus	4.956	0.400	5.356	4.958	0.340	5.298	4.108	0.000	4.108	0.000	0.000	0.000	14.022	0.740	14.762
Subt.	367.963	22.082	390.045	393.801	29.103	422.904	409.728	35.703	445.431	405.161	36.874	442.035	1,576.653	123.762	1,700.415
RTA Only Program															
CTA (RTA / Other)	0.000	177.443	0.000	0.000	225.840	0.000	0.000	199.665	0.000	0.000	426.264	0.000	0.000	1,029.212	1,029.212
Metra (RTA / Other)	0.000	44.786	0.000	0.000	150.430	0.000	0.000	147.187	0.000	0.000	307.087	0.000	0.000	649.490	649.490
Pace (RTA / Other)	0.000	7.200	0.000	0.000	15.728	0.000	0.000	18.100	0.000	0.000	27.518	0.000	0.000	68.546	68.546
RTA Funded Total	0.000	229.429	0.000	0.000	391.998	0.000	0.000	364.952	0.000	0.000	760.869	0.000	0.000	1,747.248	1,747.248
Total Match Resources (RTA)		894.546			852.296			697.016			863.182			3,307.040	
Federal Match / RTA Funded Total		251.511			421.101			400.655			797.743			1,871.010	
Balance		643.035			431.195			296.361			65.439			1,436.030	
Federal Remaining (Note C)	0.038			0.418			6.765			7.388			14.609		
Regional Remaining (Note D)		643.035			431.195			296.361			65.439			1,436.030	
Sect 3 New Starts (Sect 5309)(m)(B)															
North Central Service	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
SW Service	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
UP - West Line Ext	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Douglas/Ravenswood	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Total	70.556	17.639	88.195	69.300	17.325	86.625	95.249	23.812	119.061	86.000	21.500	107.500	321.105	80.276	401.381
New Starts (Programmed)															
CTA	53.650	0.000	53.650	53.650	0.000	53.650	79.599	0.000	79.599	40.000	0.000	40.000	226.899	0.000	226.899
Metra	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Subt.	53.650	0.000	53.650	53.650	0.000	53.650	79.599	0.000	79.599	40.000	0.000	40.000	226.899	0.000	226.899

- Notes:
- A- FFY 2010 through FFY 2012 resources are combined and are basically 1/3 of the total.
 - B- The CTA, Metra and Pace have not identified federal funds that will be deobligated and reobligated during FFY 2007. The program presented is the Current FY 06 through FY 2010. The RTA and the Service Boards are currently developing the new FY 07-2012 program.
 - C- The estimated federal funding remaining does not include the New Start funding.
 - D- The estimated regional funding is the funds remaining after matching the federal program and deducting the local program. This funding is available for matching flexible federal funds and New Start funding requirements. Adjustments to the Service Board programs to account for paratransit operating and preventive maintenance is in progress. Match funding for the operations and preventive maintenance projects (Sect 5307) is included in the RTA operations budget.

Table 3-7

CHICAGO AREA TRANSPORTATION STUDY

NE ILLINOIS - TRANSPORTATION PROGRAM AWARDS FOR FISCAL YEARS 1998 - 2005

Categories	FY 00	FY 01	FY 02	FY 03	FY 04	FY 05	Total	Fund %
FAI	137,009,848	97,499,921	47,058,952	115,549,116	185,852,462	187,002,795	769,973,094	14.67%
FAI-Discretionary	0	0	0	0	0	0	0	0.00%
NHS	96,125,388	66,238,560	111,276,850	71,529,677	43,861,496	69,622,058	458,654,029	8.74%
HBRRP	39,878,099	176,640,134	39,167,478	46,561,674	35,635,388	18,029,337	355,912,110	6.78%
Demos	0	0	0	0	0	2,000,000	2,000,000	0.04%
High Priority Projects	54,419,007	35,809,154	12,673,028	12,652,990	11,482,803	3,289,017	130,325,999	2.48%
Intelligent Transportation System	na	na	400,000	536,000	300,000	0	1,236,000	0.02%
Other Federal				1,615,112	37,493,077	25,638,867	64,747,056	1.23%
STP-State	1,579,210	25,723,220	794,554	0	0	0	28,096,984	0.54%
STP-Local	73,488,533	121,902,786	44,081,812	96,028,709	78,346,831	47,737,484	461,586,155	8.79%
STP-Safety	2,532,776	4,666,182	6,228,950	2,020,230	5,611,039	0	21,059,177	0.40%
STP-TE	13,707,744	19,432,639	16,339,653	4,049,191	6,241,510	44,702	59,815,439	1.14%
CMAQ/FHWA	18,403,410	24,123,000	42,828,660	25,693,272	38,578,683	49,348,411	198,975,436	3.79%
Matching Funds (1)	109,541,204	159,983,356	169,564,812	121,686,617	115,381,126	91,918,233	768,075,348	14.63%
Illinois (Only)	259,376,645	383,612,614	422,479,247	316,366,410	253,273,830	292,939,802	1,928,048,548	36.74%
FHWA Subt.	806,061,864	1,115,631,566	912,893,996	814,288,998	812,058,245	787,570,706	5,248,505,375	100.00%
Sect 9 (2)	185,557,459	183,681,061	198,699,919	198,933,068	200,281,398	209,948,663	1,177,101,568	24.26%
Sect 3 (Rail Formula)	114,448,341	119,004,247	124,632,032	130,380,280	133,225,954	105,867,317	727,558,171	14.99%
Sect 3 (Rail New Start)	29,248,063	49,532,158	89,863,688	108,194,064	144,674,291	175,584,000	597,096,264	12.31%
Sect 3 (Disc Bus)	2,022,591	0	2,500,000	3,218,437	515,200	1,724,800	9,981,028	0.21%
FAI-Transfer-Transit	0	0	0	0	0	0	0	0.00%
STP- Transfer FTA	3,371,250	1,208,000	4,044,623	6,334,397	0	0	14,958,270	0.31%
CMAQ/FTA	31,586,400	24,564,000	18,306,000	34,689,244	30,643,220	28,124,612	167,913,476	3.46%
JARC	250,000	1,577,448	550,245	2,384,913	369,952	980,951	6,113,509	0.13%
Matching Funds (1)	92,251,816	100,258,938	104,357,782	81,982,413	119,338,251	90,901,061	589,090,261	12.14%
Local/RTA (3)	na	19,041,000	na	na	377,630,793	50,936,000	447,607,793	9.23%
Ill-Only	6,573,947	287,875,937	405,585,537	393,972,615	15,578,174	5,000,000	1,114,586,210	22.97%
FTA Subt.	465,309,867	786,742,789	948,539,826	960,089,431	1,022,257,233	669,067,404	4,852,006,550	100.00%
Regional Total	1,271,371,731	1,902,374,355	1,861,433,822	1,774,378,429	1,834,315,478	1,456,638,110	10,100,511,925	

Notes: 1- The federal categorical funds are based on reported low bids with the federal shares estimated.

Matching funds are summarized for the federal programs.

2- The federal categorical funds are from the Federal Register. Matching funds are summarized for the federal programs.

3- RTA funds are from the Service Boards for Capital funds.

Category Codes:	FAI: FA-Interstate	STP-TE: Transportation Enhancement	Sect 5307: Formula Grants
	NHS: National Highway System	STP-HS: Safety Funds	Sect 5309: Rail Modernization
	HBRRP: Special Bridge Funds	Demo: Demonstration	Sect 5309: Discretionary Bus;
	STP: Surface Transportation Program	HPP: High Priority Project	Sect 5309: New Starts
	FAI-Transfer: Interstate Transfer	CMAQ: Congestion Mitigation Air Quality	JARC: Job Access / Reverse Commute
			na : Not Available

The capacity analysis portrays operating expenses of \$1.494 billion in FY 2000 with a projection of expenses rising to \$2.147 billion in FY 2009. Resources for a comparable period show \$1.645 billion in FY 2000 and projected revenues of \$2.093 billion in FY 2009. In the capacity analysis for the years FY 2006 through 2009, revenues are projected to have a shortfall in meeting a balanced budget. Additional actions in defining new revenues increasing fares or reducing operating expenses will be examined in the RTA's strategic plan currently in progress. Revenues from the 2005 RTA audit report resulted in \$2.622 billion in resources for operations and capital improvements. Expenditures totaled \$2.711 billion resulting in a deficit of \$89.0 million.

In the case of the highway element, responsibility for operations, maintenance, and capital improvements has developed into a complex system in Illinois. Northeastern Illinois has over 370 governmental units having responsibility over the public roadway system. This includes the state, 7 counties, 279 municipalities and 106 townships. These jurisdictions operate and maintain over 24,124 miles of roadways.

Illinois has a shared funding support mechanism to provide funding for these jurisdictions. Direct state assistance is provided in the distribution of motor fuel taxes (MFT) to the local governments. In FY 06, the redistribution of MFT funds to northeastern Illinois totaled \$382 million. With this as a base, the local government resources for operating and maintaining the local systems would total over \$2.292 billion for the six-year program. Estimates of local government resources are shown in Table 3-9. The local government funding estimates are arrived at using a variety of sources. Included are: IDOT for state MFT; the Illinois Department of Revenue for property taxes; and budget documents for the City of Chicago. The estimate for general taxes by municipalities is developed from a series of surveys on municipal finance and expenditures for transportation. The surveys were designed to establish resources and determine operation/maintenance costs and expenditures for capital improvements.

Based on the municipal surveys, overall estimated resources from this level of government exceeds \$750 million, with resources budgeted for operation and maintenance representing 40-43.5% of all resources dedicated to transportation. Using the high end of the range, over \$326 million would be available for operations and \$424 million would be available for capital improvements. The MFT awards for capital improvements and maintenance shown in Table 3-9 exceed \$229 million, which is 54% of the estimated capital expenditures.

Table 3 - 8

REGIONAL TRANSPORTATION AUTHORITY
Financial Capacity Analysis
1999-2008

(All figures in millions)

	2000 Actual	2001 Actual	2002 Actual	2003 Actual	2004 Actual	2005 Projected	2006 Projected	2007 Projected	2008 Projected	2009 Projected
Cash	455.399	410.867	341.492	192.515	200.211	205.611	211.168	216.886	222.768	228.822
Receivables	238.136	280.045	377.067	382.145	329.794	345.749	353.961	362.398	371.066	379.972
Trade Payables	128.877	131.151	142.476	148.973	130.042	128.421	126.872	125.392	123.979	122.633
Payroll Liabilities	144.329	154.714	160.362	129.746	117.603	116.383	116.204	116.064	115.962	115.896
Tax Liabilities	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Short Term Debt	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Other Current Liabilities	225.205	249.644	241.083	265.530	288.754	288.539	290.943	293.506	296.232	299.120
Total Quick Assets	195.124	155.403	174.638	30.411	(6.395)	18.018	31.111	44.321	57.661	71.144
Total Operating Expense (1)	1,493.895	1,544.785	1,561.220	1,652.300	1,719.540	1,747.354	1,930.202	1,976.420	2,059.313	2,146.775
Passenger Fares-Transit	589.121	600.944	607.789	582.405	617.786	622.123	641.925	647.014	655.126	663.976
Other Revenue	150.161	155.724	161.620	157.031	175.751	185.631	177.541	173.697	181.964	190.748
Total Operating Revenue	739.282	756.668	769.409	739.436	793.537	807.754	819.466	820.711	837.090	854.724
Federal Operating Assist.	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
State General Funds	38.759	39.531	36.260	39.662	38.220	37.240	37.240	37.240	37.240	37.240
Local General Funds	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
State Dedicated Funds	204.086	208.649	233.12	249.964	257.182	283.586	309.056	334.467	363.054	395.279
Local Dedicated Funds	650.284	653.522	647.685	654.988	675.629	697.600	719.900	742.930	766.697	791.224
Other	12.125	9.068	13.546	10.934	23.139	20.941	17.338	16.201	15.139	14.147
Total Non-Operating Revenue	905.254	910.770	930.611	955.548	994.170	1,039.367	1,083.534	1,130.838	1,182.130	1,237.890
Operating / Non-Operating Revenue	1,644.536	1,667.438	1,700.020	1,694.984	1,787.707	1,847.121	1,903.000	1,951.549	2,019.220	2,092.614
Revenues Over Expenses	150.641	122.653	138.800	42.684	68.167	99.767	(27.202)	(24.871)	(40.093)	(54.161)

Note - 1: Expenses include debt service payments for bonds issued by RTA.

Source: Regional Transportation Authority, Financial Capacity Analysis 2000-2009,
Sept, 2005

Table 3 - 9
 FY 2006 Summary of Local Jurisdiction Capital Improvements/Maintenance and Revenues

Resources	Counties			Municipalities			Townships			Summary		
State MFT (1)			120,281,190			225,293,633			5,496,777			351,071,600
Other MFT (Local) (2) / co			29,502,637			95,184,536			0			124,687,173
General Taxes (3)			30,918,957			415,712,625			0			446,631,582
General Property Taxes (4)			40,940,407			20,317,161			70,213,242			131,470,810
		Summary	221,643,191			756,507,955			75,710,019			1,053,861,165

Expenditures (5)												
Capacity Additions												
	Miles	Cost (B)	Other (C)	Miles	Cost	Other	Miles	Cost	Other	Miles	Cost	Other
Interstate (A)	0.00			0.00			0.00			0.00	0	0
Principal Arterials	0.00	0	0	0.00			0.00			0.00	0	0
Arterials	3.30	14,324,136	0	0.00			0.00			3.30	14,324,136	0
Subt.	3.30	14,324,136	0	0.00	0	0	0.00	0	0	3.30	14,324,136	0
Improvements/Maintenance												
Interstate	0.00			0.00			0.00			0.00	0	0
Principal Arterials	0.00	0	0	0.00			0.00			0.00	0	0
Arterials	102.50	63,223,881	29,633,072	0.00			0.00			102.50	63,223,881	29,633,072
Local Roads/Streets	0.00			340.23	133,155,225	3,429,216	98.19	5,726,334	499,345	438.42	138,881,559	3,928,561
Off-System (D)	2.60		963,234	0.00		2,827,801	0.00			2.60	0	3,791,035
Maintenance (E)	0.00		3,953,215	0.00		6,285,369	0.00		258,743	0.00	0	10,497,327
Subt.	105.10	63,223,881	34,549,521	340.23	133,155,225	12,542,386	98.19	5,726,334	758,088	543.52	202,105,440	47,849,995
Summary	108.40	77,548,017	34,549,521	340.23	133,155,225	12,542,386	98.19	5,726,334	758,088	546.82	216,429,576	47,849,995

Summary of Expenditures 112,097,538 145,697,611 6,484,422 264,279,571

- | | |
|--|---|
| <p>Sources/Notes</p> <p>1- State MFT distributions; IDOT, Bureau of Local Roads and Streets. Cook County distribution reduced by \$30.5 M, for transfers to county court system and CTA. State MFT for FY 06.</p> <p>2- Local MFT - County Option MFT: Du Page, Kane, and McHenry counties for FY 04. City of Chicago vehicle tax funds budgeted for FY 04.</p> <p>3- Local taxes- Estimated general taxes collected by the various jurisdictions including: sales, Utility, intergovernmental transfers and bonding. Estimated based on FY 2003 MFT. < < <</p> <p>4- Property taxes for tax year 2003; Illinois Department of Revenue.</p> | <p>5- Local jurisdiction expenditures are from State Contractors Bulletin; as reported. Awards are for projects advertised from July 1 thru June 30. Non-MFT expenditures are not included. Mileage advertised as Non-MFT: Counties: 6.75; Municipalities:18.53;Townships: 15.94. Mileage stated as centerline miles. Unreported MFT mileage advertised : Counties: 5.75; Municipalities:39.31; Townships:23.89.</p> <p>A- System designation is based on functional classification.</p> <p>B- Costs summarize the expenditures for resurfacing, widening and reconstruction. Mileage stated is centerline miles.</p> <p>C- Other - denotes other major improvements i.e. signal installation, bridges, intersections and lighting.</p> <p>D- Off-system - denotes improvements for sidewalks and bikeways.</p> <p>E- Maintenance - summarizes expenditures for contracted services, materials and general maintenance contracts.</p> |
|--|---|

The state program has a similar process. Motor fuel taxes are deposited into the Road Fund. Debt service and operations and maintenance are funded from the Road Fund with the remainder used for construction and grants. The State Construction Account is funded through the transfer of the gas tax differential between regular fuels and diesel fuels, and vehicle registration fees collected by the Secretary of State. This fund is used exclusively for construction.

The statewide matching resources of \$2.695 billion shown in table 3-1 represent the amount available for the capital portion of the IDOT program. After matching the estimated federal funding, approximately \$1.372 billion would be available for implementing state-funded projects. The general operating budget for FY 2004 exceeds \$732 million statewide, with the District 1 operations budget set at \$139.7 million.

As noted above, Illinois has a shared responsibility in funding and operating the roadway system. Table 3-9 displays an estimate of local jurisdiction revenue resources and expenditures. These revenues are used to operate and maintain the systems and provide funding for capital improvements. The resources summary includes: the redistribution of State motor fuel taxes; other motor fuel taxes dedicated to transportation or budgeted by the local jurisdictions; general property taxes; and general taxes and bonding assessed by local governments. In total, \$1.053 billion has been estimated to be available to operate and maintain the local roadway systems and provide matching for the capital program using federal funds.

The local jurisdiction fund estimate is arrived at using a variety of sources, including IDOT for State motor fuel taxes, the Illinois Department of Revenue for other motor fuel taxes and information included in the budget ordinance for the City of Chicago. General property taxes for collection in 2003 are from data obtained from the Illinois Department of Revenue. The estimates of general taxes were developed from a survey of municipalities in northeastern Illinois in 1996. This survey was designed to obtain information on resources used by municipalities and the uses of this funding for operations and maintenance and capital investments.

The second portion of table 3-9 identifies a portion of local jurisdiction expenditures. The main source in identifying expenditures is the state "Contractors Bulletin". This document provides a place to advertise for motor fuel tax and locally funded projects. The expenditure portion of the table summarizes the reported bids for jobs ranging from constructing lane additions to maintenance contracts for signals and lighting. In FY 2005, reported expenditures totaled \$264 million. Due to the nature of some of the contracting, not all motor fuel tax awards are accounted for in the bulletin.

The general distribution for local system investment reported during FY 05 was 5.4% in capacity additions, 90.7% for improvements to the local road system and 3.9% for routine maintenance. In the category of improvements and maintenance, the predominant investment was for resurfacing of county, local municipal streets and township roads. Total system resurfacing amounted to over 615 miles using MFT funds; with an additional 41 miles advertised using non-MFT funds. The reported costs for resurfacing of suburban streets and arterials totaled \$202.1 million. Local jurisdiction miles for northeastern Illinois in 2005 totaled approximately 21,139 center-line miles.

In summary, with total estimated resources available of over \$1.054 billion, the region is meeting its operations and maintenance needs for the local system.