



**MEMORANDUM**

**To:** CMAQ Project Selection Committee  
**From:** CMAP staff  
**Date:** October 24, 2013  
**Re:** 2013 CMAQ October Status Updates – Detailed Results

[CMAQ Programming and Management Policies](#) call for semi-annual updates to determine the progress that projects are making towards both the obligation of federal funds and the accomplishment of Active Program Management milestones. Status update responses are used to reprogram line items into appropriate federal fiscal years, to record accomplishments, and to determine projects for deferral.

Earlier this month, status updates were requested for 282 individual line items from 240 distinct projects. Details regarding the requests and preliminary analysis of the disposition of the line items was included in the October 24, 2013 Project Selection Committee agenda packet. Additional details regarding the update responses are included in this memo.

Accomplished Line Items

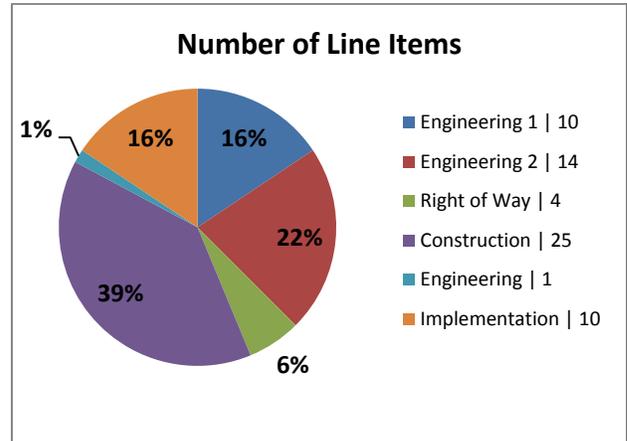
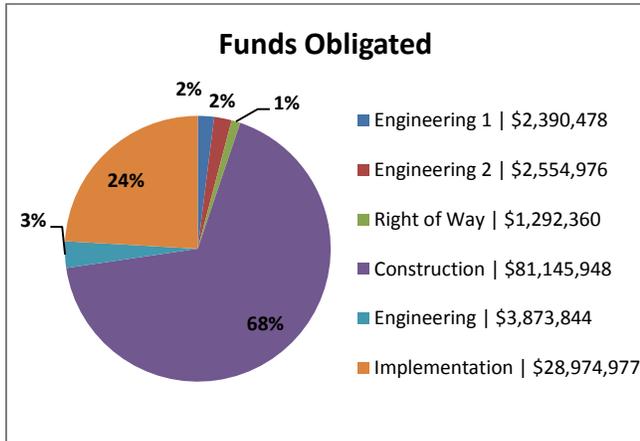
Twenty-three line items for which updates were requested have been accomplished. The milestones for accomplishment are:

Engineering 1	Design Approval Granted
Engineering 2	Draft Plans, Specs and Estimates (a.k.a. Pre-final Plans) Submitted
Right of Way	Certified by Bureau of Land Acquisition
Construction	Let for Bid
Engineering	Agreement Submitted to Central Office or FTA Grant Approved
Implementation	Agreement Submitted to Central Office or FTA Grant Approved

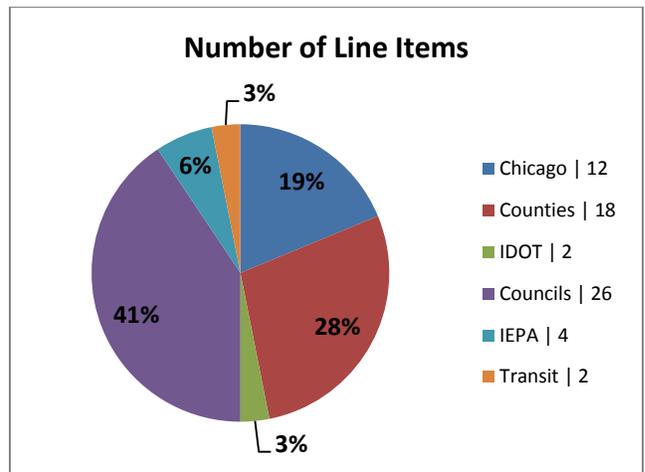
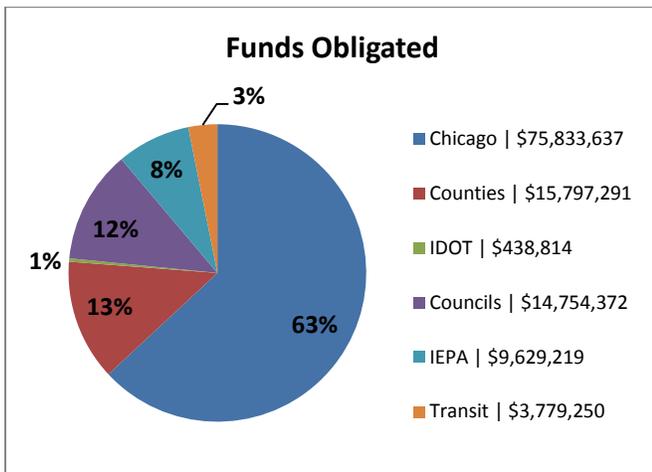
Of those line items accomplished, seven were deferred line items and the remaining sixteen were active line items. \$67.2 million is obligated from these line items, some of which was obligated prior to FFY 2013 and an additional \$11.4 million remains unobligated for construction line items. In addition, 42 line items were also accomplished in 2013 prior to the status updates being requested. \$53.1 million was obligated from these line items, some in

years prior to FFY 2013. Details of the phases, sponsor groups and status of the accomplished line items are shown in the charts below.

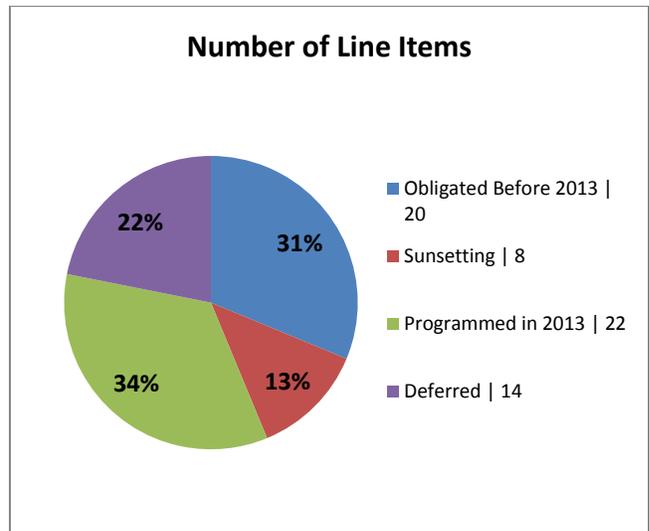
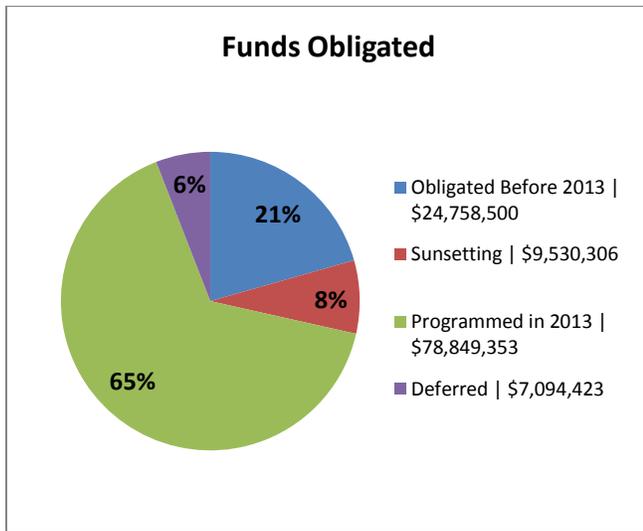
### Line Items Accomplished in FFY 2013 – By Phase



### Line Items Accomplished in FFY 2013 – By Sponsor Group

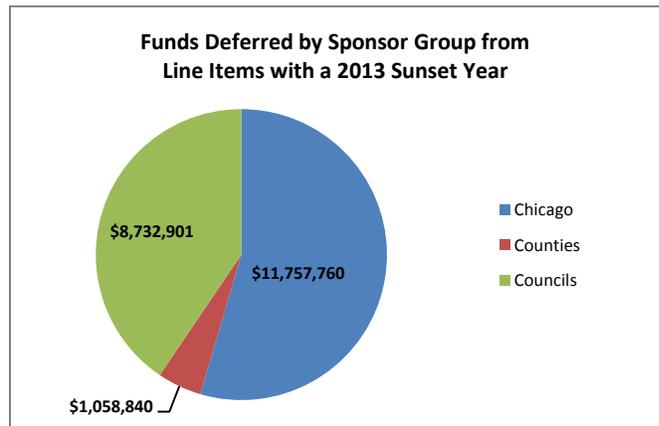
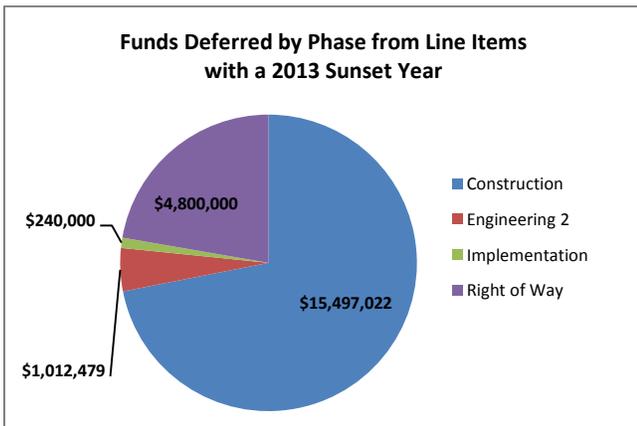


## Line Items Accomplished in FFY 2013 – By Status



### Deferred Line Items

A total of \$36.2 million in federal CMAQ funds from 23 line items was deferred based on the status update responses. \$14.7 million (40%) of the deferred funds remain programmed in FFY 2014 on Construction and Implementation line items that have demonstrated readiness. The funds programmed and phases of the deferrals are illustrated below. “Prior Phase Delayed” was cited as the reason for delay in about a quarter of the responses for these line items. “Coordination”, either internal or with other agencies, was cited as the reason for delay for another quarter of the deferred line items.



In May, ten line items facing sunset at the end of FFY 2013 reported they expected to be obligated by then end of the year, five of those line items were obligated, four have been deferred but are ready. Additionally, nine line items facing sunset reported in May that they would not be obligated by the end of the year. All were deferred, but two are ready. The four additional deferred line items are out year phases deferred due to the deferral of an earlier phase.

### Fiscal Year Changes

Sixty-four line items are being reprogrammed to different federal fiscal years. The net changes are as follows:

FFY 2013	- \$60,214,722	
FFY 2014	+ \$50,485,221	(\$31.8 million on deferred line items)
FFY 2015	+ \$687,501	(\$143 thousand on deferred line items)
FFY 2016	+ \$9,042,000	(\$2.4 million on deferred line items)

Details of individual line item changes are included in the Program Summary Reports distributed with the October 24, 2013 PSC agenda packet.

### Reasons for Delay

110 of the 282 updates submitted (39%) indicated delay in accomplishing the phase. As seen in the past two update cycles, "Prior Phase(s) Delayed" is the largest reason for delay, affecting 32% of the delayed line items. In May of 2013, 39% of delayed line items were delayed by a prior phase and in October of 2012, the share was 31%. Comparing other reported reasons for delay over the last three cycles, the IDOT Agreement Process and Right of Way represent a decreasing share of the reasons for delay and overall, the percentage of line items experiencing no delay is increasing.

Reason for Delay	May 2012	Oct 2012	May 2013	Oct 2013
No Delay	40%	39%	48%	61%
Prior Phase Delayed	20%	31%	39%	32%
Coordination with Others	33%	23%	17%	25%
Internal Coordination	6%	14%	8%	16%
IDOT Agreement Process	17%	11%	13%	7%
Sponsor Priorities	10%	1%	3%	6%
Other	6%	10%	11%	6%
ROW	6%	10%	8%	5%
Public Opposition	0%	0%	1%	1%

Overall, the semi-annual status updates are proving to be a useful tool for more accurately predicting expected obligations. The updates have also identified common reasons for delay and have helped to shape programming policies aimed at reducing delay. As the new 2014 – 2018 program, which required phase 1 engineering to be completed, moves forward, staff expects to see a reduction in project delays reported. Finally, based on conversations with planning liaisons and sponsors working on projects, staff believes that the semi-annual updates help raise sponsor awareness of project milestones assisting sponsors in keeping projects moving forward towards accomplishment.

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