# **Chapter 3**

FISCAL PLAN

The FY 2010-2015 TIP¹ relies on the current federal transportation authorization act, *Safe*, *Accountable*, *Flexible*, *Efficient Transportation Equity Act: A Legacy for Users* (FY 2005-09) known as *SAFETEA-LU* and it's extension through FFY 2010 and assumes that Congress will continue to set the appropriations level for each new federal fiscal year through the programming period 2010 through 2015. TIP funding levels also anticipate the continued passage of annual transportation programs by the State of Illinois.

The program development has been guided, as in the past, by an extensive analysis of financial resources available to the region. Included in the financial plan are resources from *SAFETEA-LU*, motor fuel taxes (MFT), bonding authority of the State of Illinois, and taxing and bonding authority of the Regional Transportation Authority (RTA) and two of its Service Boards (CTA and Metra).

The fund categories, which are displayed in column 1 of Table 3-1, include the following:

- · Interstate (FAI);
- · National Highway System (NHS);
- · Bridge funding (HBRRP);
- · Surface Transportation Program (STP);
- · High Priority Projects (HPP);
- · Congestion Mitigation and Air Quality Improvement Program (CMAQ);
- FTA formula transit funds sections 5307 and 5309(m)(1)(A);
- · FTA Section 5310;
- · New Starts; and
- · Highway / Rail Safety Improvement Program (HSIP & RR Xing).

The resources for the *FY 2010-2015 TIP* are displayed in two sections of Table 3-1: statewide funding and regionwide funding. The statewide section at the top of Table 3-1 presents the major categorical funds received through the Federal Highway Administration (FHWA) and the State of Illinois. Projects funded from the monies identified in the statewide resource section are initiated by the Illinois Department of Transportation (IDOT) within the CMAP region. The State STP program has a specific set-aside for the transportation enhancement program (ITEP).

The middle section shows federal funding that finances projects initiated by local governments in our region. The STP and CMAQ funds are allocations to northeast Illinois and are based on federal guidelines. The Congestion Mitigation and Air Quality Improvement Program is only available for regions of the US that are designated as "nonattainment areas" i.e. those not meeting the National Ambient Air Quality Standards. CMAQ funds are designated for projects that will help to meet those standards.

The regionwide section at the bottom of Table 3-1 presents other categorical funds received through the FHWA and those received through the Federal Transit Administration (FTA). This federal funding is distributed to our region based on specific federal criteria for the various

<sup>• 1</sup> In this chapter, fiscal years refer to federal fiscal years unless otherwise noted.

programs outlined in *SAFETEA-LU* as well as through funding distribution methods or formulas as defined by Illinois statute.

The authorization levels in *SAFETEA-LU* define the basic level of federal transportation funding, the general legislative framework and Congressional priorities for transportation. The FY 2010-2015 estimates in Table 3-1 are based upon appropriation levels from *SAFETEA-LU* as estimated by the FHWA for each annual programming year. The FFY 2010 appropriation was a continuation of the SAFETEA-LU Act. This funding is projected for the 6 year period keeping constant the funding levels due to the absence of a new transportation bill. These figures are subject to reductions during the annual appropriations process through the imposition of obligation ceilings and rescissions, which are used as federal budget balancing mechanisms. For each fiscal year in the table, the estimated federal funding required local match and combined totals are shown in separate columns.

IDOT's Office of Planning and Programming has reviewed and agreed with the figures for the statewide funding categories. The Regional Transportation Authority prepared the estimates for regionwide transit funding. CMAP developed the STP and CMAQ estimates in conjunction with IDOT. The high priority projects (HPP) reflect Congressional earmarks for projects contained in *SAFETEA-LU* and individual federal appropriations bills. The current funding authorized by the SAFETEA-LU Act has been allocated based upon the formula set in the SAFETEA-LU Act. The currently programmed projects in the TIP are funded from the above distributions and the funding estimated to be carried over from previous years, as outlined in Tables 3-2 and 3-4.

Table 3 - 1
State / Regional Resources (All Figures are in Millions)

Part	Approved: 06/15/2012							State / Regio	onal Resource	es (All Figu	res are in Mill	lions)								
Part	TIP Code = fund source designation in the	TIP	F	FY 2011		ı	FFY 2012*			FFY 2013	<b>+</b>	ı	FFY 2014*		FFY 2	2015*		FI	-	5
Professor   Prof				Match			Match			Match			Match			Match			Match	
FAI Minichronner HM 322 M3 5871 58871 58871 58872 58872 58873 588755 588755 588755 58875 58875 58875 58875 58875 58875 58875 58875 58875 58875 5	Roads (FHWA Statewide) 1,2,10		Federal	Needs	Total	Federal	Needs	Total	Federal	Needs	Total	Federal	Needs	Total	Federal	Needs	Total	Federal	Needs	Total
National Highways System   Nis	Fund (as of 4/11/12)	TIP Code																		
Bridge Funds   BRK   T78.111   44.528   22.289   178.130   44.533   22.268   178.130   44.533   22.268   178.130   44.533   22.268   178.130   44.533   22.268   178.130   44.533   22.268   178.130   44.533   22.268   178.130   44.533   22.268   178.130	FAI Maintenance	I-M		35.871			35.875	358.753		35.875			35.875			35.875	358.753	1,614.355	179.373	1,793.728
Equipones	National Highway System	NHS	275.278	68.820	344.098	275.308	68.827	344.135	275.308	68.827	344.135	275.308	68.827	344.135	275.308	68.827	344.135	1,376.510	344.128	1,720.638
STP_	•	BRR	178.111	44.528	222.639	178.130	44.533	222.663	178.130	44.533	222.663	178.130	44.533	222.663	178.130	44.533	222.663	890.631	222.658	1,113.289
Safety (RS)Pr)   HS)Pr   55.487   61.65   61.652   61.6	Equity Bonus	EB	100.627	25.157	125.784	100.426	25.107	125.533	100.426	25.107	125.533	100.426	25.107	125.533	100.426	25.107	125.533	502.331	125.583	627.914
Safety (RR Xing)	STP	STP-U	110.246	27.562	137.808	120.984	30.246	151.230	120.984	30.246	151.230	120.984	30.246	151.230	120.984	30.246	151.230	594.182	148.546	742.728
STP (Enhancement 10%)	,	HSIP	55.487	6.165	61.652	52.240	5.804	58.044	52.240	5.804	58.044	52.240	5.804	58.044	52.240	5.804	58.044	264.447	29.383	293.830
High Priority Projects   High Prototy Projects   High Priority Projects   High Prototy Projects   High	Safety ( RR Xing)	STP-S	12.285	1.365	13.650	12.285	1.365	13.650	12.285	1.365	13.650	12.285	1.365	13.650	12.285	1.365	13.650	61.425	6.825	68.250
Recreational Trails   ReCreational Trails   Recreational Trails   Sake	STP (Enhancement 10%)	STP-E	35.643	8.911	44.554	35.647	8.912	44.559	35.647	8.912	44.559	35.647	8.912	44.559	35.647	8.912	44.559	178.231	44.558	222.789
Safe Roules to Schools   Safe Roules to Scho	High Priority Projects	HPP	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Total   Tota	Recreational Trails	RECTP	2.140	0.535	2.675	1.845	0.461	2.306	1.845	0.461	2.306	1.845	0.461	2.306	1.845	0.461	2.306	9.520	2.380	11.900
State Only and Matching Funds <sup>3</sup> 1,123.000	Safe Routes to Schools	SR2S	9.136	0.000	9.136	9.137	0.000	9.137	9.137	0.000	9.137	9.137	0.000	9.137	9.137	0.000	9.137	45.684	0.000	45.684
Roads (Region-wide)* STP-Loal STP-L 134.473 44.824 179.297 130.626 43.542 174.168 130.626 43.542 174.168 130.626 43.542 174.168 130.626 43.542 174.168 130.626 43.542 174.168 656.977 218.992 875.969 STP Counties STP-C 5.111 1.278 6.389 5.111 1.278		Total	1,101.796	218.913	1,320.709	1,108.880	221.130	1,330.010	1,108.880	221.130	1,330.010	1,108.880	221.130	1,330.010	1,108.880	221.130	1,330.010	5,537.316	1,103.432	6,640.748
STP Local STP L 134.473	State Only and Matching Funds <sup>3</sup>			1,123.000			885.000			885.000			885.000			885.000			4,663.000	4,663.000
STP Local STP L 134.473	Roads (Region-wide) <sup>4</sup>																			
STP Counties   STP Counties   STP Counties   STP Counties   CMAQ   111.332   27.833   139.165   139.165   139.16		STP-L	134.473	44.824	179.297	130.626	43.542	174.168	130.626	43.542	174.168	130.626	43.542	174.168	130.626	43.542	174.168	656.977	218.992	875.969
$ \frac{\text{CMAQ}}{\text{Total}}  \frac{\text{CMAQ}}{\text{Total}}  \frac{111.332}{250.916}  \frac{27.833}{3.9.505}  \frac{139.165}{247.069}  \frac{111.332}{247.069}  \frac{27.833}{319.722}  \frac{139.165}{247.069}  \frac{111.332}{72.653}  \frac{27.833}{319.722}  \frac{139.165}{247.069}  \frac{111.332}{72.653}  \frac{27.833}{319.722}  \frac{139.165}{247.069}  \frac{111.332}{72.653}  \frac{27.833}{319.722}  \frac{139.165}{247.069}  \frac{111.332}{72.653}  \frac{27.833}{319.722}  \frac{139.165}{247.069}  \frac{139.165}{72.653}  \frac{139.165}{319.722}  \frac{139.165}{247.069}  \frac{139.165}{72.053}  \frac{139.165}{72.955}  1$	STP Counties	STP-C	5.111	1.278	6.389	5.111	1.278	6.389	5.111	1.278	6.389	5.111	1.278	6.389	5.111	1.704	6.815	25.555	6.389	31.944
Local Government Match Funds	CMAQ	CMAQ	111.332	27.833		111.332	27.833	139.165	111.332	27.833		111.332	27.833	139.165	111.332	27.833		556.660		695.825
Total Available Resources for Roads  Transit (FTA region-wide) <sup>6</sup> (as of 4/12/12)  Sect. 5307/ 5340 <sup>7</sup> 5307 235.789 58.947 294.736 235.790 58.948 294.738 240.270 60.068 300.338 244.830 61.208 306.038 249.480 62.370 311.850 1,206.159 301.540 1,507.699  Sect. 5309(m)(2)(B) <sup>8</sup> 5309B 165.106 41.277 206.383 165.110 41.278 206.388 168.240 42.060 210.300 171.440 42.860 214.300 174.690 43.673 218.363 844.586 211.147 1,055.733  Sect. 5309(m)(2)(A) 5309A 0.000 0		Total	250.916	73.935	324.851	247.069	72.653	319.722	247.069	72.653	319.722	247.069	72.653	319.722	247.069	73.079	320.148	1,239.192	309.798	1,548.990
Total Available Resources for Roads  Transit (FTA region-wide) <sup>6</sup> (as of 4/12/12)  Sect. 5307/ 5340 <sup>7</sup> 5307 235.789 58.947 294.736 235.790 58.948 294.738 206.388 168.240 42.060 210.300 171.440 42.860 214.300 174.690 43.673 218.363 844.586 211.147 1,055.733   Sect. 5309(m)(2)(A) 5309A 0.000 0.0	Local Government Match Funds <sup>5</sup>			339.050			339.050			339.050			339.050			339.050			1,695.250	1,695.250
Sect. 5307/5340 <sup>7</sup> 5307 235.789 58.947 294.736 235.790 58.948 294.738 240.270 60.068 300.338 244.830 61.208 306.038 249.480 62.370 311.850 1,206.159 301.540 1,507.699   Sect. 5309(m)(2)(B) <sup>8</sup> 5309B 165.106 41.277 206.383 165.110 41.278 206.388 168.240 42.060 210.300 171.440 42.860 214.300 174.690 43.673 218.363 844.586 211.147 1,055.733   Sect. 5309(m)(2)(A) 5309A 0.000	Total Available Resources for R	oads																6,776.508		13,134.758
Sect. 5309(m)(2)(B) <sup>8</sup> 5309B         165.106         41.277         206.383         165.110         41.278         206.388         168.240         42.060         210.300         171.440         42.860         214.300         174.690         43.673         218.363         844.586         211.147         1,055.733           Sect. 5309(m)(2)(A)         5309A         0.000 <td>Transit (FTA region-wide)<sup>6</sup> (as o</td> <td>f 4/12/12)</td> <td></td>	Transit (FTA region-wide) <sup>6</sup> (as o	f 4/12/12)																		
Sect. 5309(m)(2)(A)         5309A         0.000 <td>Sect. 5307/ 5340<sup>7</sup></td> <td>5307</td> <td>235.789</td> <td>58.947</td> <td>294.736</td> <td>235.790</td> <td>58.948</td> <td>294.738</td> <td>240.270</td> <td>60.068</td> <td>300.338</td> <td>244.830</td> <td>61.208</td> <td>306.038</td> <td>249.480</td> <td>62.370</td> <td>311.850</td> <td>1,206.159</td> <td>301.540</td> <td>1,507.699</td>	Sect. 5307/ 5340 <sup>7</sup>	5307	235.789	58.947	294.736	235.790	58.948	294.738	240.270	60.068	300.338	244.830	61.208	306.038	249.480	62.370	311.850	1,206.159	301.540	1,507.699
Sect. 5309(m)(2)(A)         5309A         0.000 <td>Sect. 5309(m)(2)(B)<sup>8</sup></td> <td>5309B</td> <td>165.106</td> <td>41.277</td> <td>206.383</td> <td>165.110</td> <td>41.278</td> <td>206.388</td> <td>168.240</td> <td>42.060</td> <td>210.300</td> <td>171.440</td> <td>42.860</td> <td>214.300</td> <td>174.690</td> <td>43.673</td> <td>218.363</td> <td>844.586</td> <td>211.147</td> <td>1,055.733</td>	Sect. 5309(m)(2)(B) <sup>8</sup>	5309B	165.106	41.277	206.383	165.110	41.278	206.388	168.240	42.060	210.300	171.440	42.860	214.300	174.690	43.673	218.363	844.586	211.147	1,055.733
Sect. 5309(m)(2)(C)       5309C       42.400       10.600       53.000       41.075       10.269       51.344       2.816       0.704       3.520       9.958       2.490       12.448       12.334       3.084       15.418       108.583       27.146       135.729         Sect. 5307/5309 (Deobl/Reobl)       5307       77.205       19.301       96.506       13.961       3.490       17.451       0.000       0.000       0.000       0.000       0.000       0.000       91.166       22.792       113.958         Sect. 5339 (Alternatives Analysis)       5339       0.000       0.000       0.500       2.500       0.000       0.000       0.000       0.000       0.000       0.000       0.000       0.000       0.000       0.500       2.500		5309A		0.000		0.000	0.000	0.000	0.000	0.000		0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Sect. 5307/5309 (Deobl/Reobl)       5307       77.205       19.301       96.506       13.961       3.490       17.451       0.000       0.000       0.000       0.000       0.000       0.000       0.000       0.000       0.000       0.000       91.166       22.792       113.958         Sect. 5339 (Alternatives Analysis)       5339       0.000       0.000       0.500       2.500       0.000       0.000       0.000       0.000       0.000       0.000       0.000       0.500       2.500																				
Sect. 5339 (Alternatives Analysis) 5339 0.000 0.																				
	,																			
	· ·	•		0.246	2.456		5.000	25.000	0.000	0.000	0.000		0.000	0.000		0.000	0.000		5.246	27.456

411.326 102.832

376.189

**Total Available Resources for Transit** 

Match Resources / RTA9

\* FFY 12, 13, 14, and 15 resources are based on the extension of SAFETEA-LU, (FHWA Notice 4510.79) which uses FFY 11 appropriation levels (FFY 11 appropriation levels are derived from FHWA Notice 4510.46).

514.158

426.228

106.557

238.989

Notes:

Total

522.710

130.371

656.568

1. FHWA SAFETEA-LU estimates are per FHWA notice 4510.79. and IDOT; OP&P.

653.081

Statewide figures are based upon SAFETEA-LU apportionments from FHWA to the states. All forecasts
assume SAFETEA-LU authorization levels. Fund estimates for FY 2012 through FY 2015 utilize the
estimates for FFY 2011. Regional amounts of IDOT statewide funds will vary based upon project readiness,
and are subject to IDOT priorities and obligation ceilings.

477.936

119.484

467.394

597.420

- 3. State matching resources for FY 2011 2016 are from the IDOT Proposed Highway Improvement Program for 2011-2016.
- 4. Regional figures are based on set asides for local programming, designated program funds and apportionment estimates for FTA programs.
- Local match resources for regionally funded programs are from state MFT distributions as set by state law for counties and municipalities. Source is the SFY 2010 IDOT for the Record report.

6. FTA estimates are from the FY 2011 - 2016 Capital Program Marks; RTA, March 14, 2012.

436.504

532.785

7. In FFY 2012 5307 funds were used for non-capital in the following manner; \$57.835M was transferred to operating (Preventive maintenance) and \$115.484M was used by the CTA for debt service. Further transfers are anticipated in FY 2012, 2013, 2014, and 2015 and are detailed in table 3-7.

109.126

16.968

545.630

2,274.704

568.369

1,756.108

2,843.073

4,030.812

- 8. In FY 2012 \$189.844M was used by the CTA for debt service. Further debt service payments are anticipated in FY 2013, 2014, 2015, and 2016 and are detailed in table 3-7.
- 9. RTA match sources are from regional / State taxes, operating funds and bonding authority.
- 10. Statewide figures are subject to revision. The major categories include the Equity Bonus add on.

#### A. Statewide Resources

Categories such as Interstate, NHS, Bridge and portions of the STP program are programmed by the State based upon statewide needs, project readiness, and IDOT priorities. Resources shown in Table 3-1 are subject to an obligation ceiling, that is ceiling or restriction imposed by the federal government that limits our expenditures to a lower amount than the amount of funding listed. Funds carried over from previous years because they were not expended, i.e. remaining apportionments, are not included as part of the estimates in this table.

The statewide federal and local estimates presented total \$9,735 billion, consisting of \$5,621 billion in federal funds and \$1.118 billion in required matching funds for the six-year period. The total local resources (motor fuel taxes and vehicle licensing fees and bonding) estimated for the six-year period are \$4.115 billion. The source of these funding estimates is IDOT's *Proposed Highway Programs for FY 2010-2015 and FY 2011-2016*. Information from these two proposed IDOT 6 year programs cover the period for the new TIP.

## **B.** Regionwide Resources

The regionwide resource figures are divided into funds received through the FHWA and funds received through the FTA. In summary, the funds available in northeastern Illinois for the six-year period due to FHWA categorical program distributions total \$1.860 billion. This represents \$1.488 billion in federal funds and \$1.733 billion in local matching funds. The funding necessary to match the federal highway funds coming to the CMAP region is \$372 million.

A primary source of local funding is the allocation of state-collected motor fuel tax (MFT) funds. Estimates of MFT funds returned to the municipalities and counties are displayed in Table 3-1. The amounts shown as "Match Resources (local)" represent the base funding available for matching federal funds. The MFT redistribution for FY 2009 totaled \$346.7 million. Additional match resources come from city and county motor fuel taxes, Regional Transportation Authority sales tax and tax resources assessed by the local governmental bodies. These resources are not included as part of the match resources. A summary of local resources is presented in Table 3-9.

This section of the regionwide resource table displays the estimated FTA funding. This section covers the estimates for section 5309 and section 5307 funds administered by the FTA. These funds are designated for capital funding for rail modernization and bus and rail capital funding. Other parts of Section 5309 cover discretionary capital funding for buses and "New Start" funds. For the period FY 2011 – 2015 which corresponds to the current RTA program forecast, funding for transit totals \$2.800 billion. This includes \$2.229 billion in federal funds, with an estimated \$557 million required in matching funds. The total local funding estimated for the five-year period is \$2.452 billion. This funding estimate does not include "New Start" funding. The "New Start" funding, along with the discretionary bus funding, remains in competition with the other projects throughout the US approved for this funding in *SAFETEA-LU*.

The Illinois General Assembly has established a mass transportation fund, which distributes funding throughout the State. The RTA's 2008 audit report shows that local and state taxes to support mass transit totaled \$1.342 billion. The collection of fares and other revenues totaled \$1.178 billion.

## C. Regional Program Guidelines

The Locally Programmed Surface Transportation Program (STP-Local) is accomplished through the Council of Mayors. This element is monitored throughout the year to ensure equitable receipt of funds among sub-regions and to ensure timely expenditures by the sub-regional Councils. The program is also monitored to ensure that transportation improvements reflect area needs and federal goals and objectives. Table 3-3 summarizes the sub-regional council balances and projects funds available through FFY 2015. The four counties in northeast Illinois that have rural areas (Lake, Kane, Will, and McHenry) are also eligible for some STP Funds (STP-County). Estimates for the County STP program are \$5.2 million for FY 2011 and \$26.1 over the 6 year programming period. The CMAQ program estimates for FY 2011 is \$114.8 million. CMAQ funding is projected at the same level for each year through FY 2015.

## D. FY 2010-2015 Program

As noted in the resources section of this chapter, the *FY 2010-15 TIP* consists of statewide and regionwide parts. The statewide section contains projects funded with resources eligible for statewide use; the regionwide section contains formula programs.

A summary of the program is presented in Tables 3-5 and 3-6. The tables consist of the statewide resources and regionwide resources presented in Table 3-1 and a summary of the *FY 2010-15 TIP* by fund source. Also summarized are the state-only resources and program. Resources listed in Table 3-5 do not include carry-over from previous years. Carry-over for the FY 2010 program is shown in Table 3-4. Table 3-2 shows that, when carry-over is added to the annual resources and the programmed amounts subtracted, the difference is positive and the program fiscally constrained for the annual element.

For high priority projects *SAFETEA-LU* provided a total of \$334.2 million in program funds for regionally designated projects. The distribution for FY 2010 would include the total apportionments of the five year act. The total remaining funding is reflected as carryover funding in Table 3-2. It is anticipated that the remaining projects will be accomplished as engineering is completed and sufficient funding is available to complete the projects.

	Table 3-2											
		Fiscal Constrai	nt Demonstratior	1:								
		FY 10	Program:									
	FH	IWA and FTA F	unds, Federal Do	llars								
Category	Annual Resources	carry-over	Total	Program	Balance							

FAI	\$300,677,000	NA	\$300,677,00	\$145,968,000	\$154,709,000
NHS	\$256,378,000	NA	\$256,378,000	\$140,158,000	\$116,220,000
HBRRP	\$165,882,000	17,857,805	\$183,739,805	\$169,108,000	\$14,631,805
STP	\$103,118,000	21,155,792	\$124,273,792	\$123,464,000	\$,809,792
STP-Safety	\$61,830,000	45,931,342	\$107,761,342	\$16,758,000	\$91,003,342
Enhancement	\$33,195,000	\$41,607,000	\$74,802,000	\$34,291,000	\$40,511,000
STP-Local	\$125,804,000	\$125,653,000	\$251,457,000	\$245,292,000	\$6,165,000
STP-County	\$4,782,000	\$6,350,000	\$11,132,000	\$3,190,000	\$7,942,000
CMAQ	\$103,688,000	\$309,783,000	\$413,471,000	\$192,935,000	\$220,536,000
HPP	NA	\$213,652,000	\$213,652,000	\$94,719,000	\$118,933,000
FTA	\$448,115,841	\$60,590,795	\$508,706,636	\$467,221,000	\$41,485,360

The CMAQ program displays a large carryover balance though FY 09. This is the result of a lag in completing major projects and rescissions mandated by Congressional budget balancing initiatives. The effects of rescissions have had a significant impact on the CMAQ program. During the life of the CMAQ program a total of \$177.1 M in rescissions has occurred. In FFY 2009 a special end of act rescission occurred in September. The total for this rescission in the CMAQ program was \$83.6M. This rescission was then reversed (i.e. the funds were restored) in the FFY 2010 appropriations process. The funds restored have been added to the FFY 2010 programs. The returned funds will be treated as FFY 2010 funding and receive an additional year of life (i.e. the program year plus three later years before the funds would lapse if not spent). In the state and regional resources table 3-1 all of the restored funding from the rescission is counted in the carryover table 3-4.

The regional transit program for FY 2010 as shown does not include the CTA BRT project funding. The estimated funding for this program is \$ 147.2 million. The grant application is awaiting approval. The Congressional mandated rescissions have had an effect on all federal programs received by the State and the region. The regional transit program for FY 2010-15 is summarized in Table 3-6. Fiscal constraint for the federal fund sources is demonstrated by the total federal fund resources being greater than the federally funded total for each year. Fiscal constraint of the non-federal fund sources is demonstrated by the regional total of the "match" columns being greater than the "total match resources" for each year.

**Table 3 - 3**Estimate of Surface Transportation Program (STP) Funds

Draft Program Marks to be approved June 29,2010

( All Figures are in Federal Dollars)

	FFY 72 - 2010	FFY 72 - 2010	FFY 72 - 2010	FFY 2011	FFY 2011	FFY 2012	FFY 2013	FFY 2014	FFY 2015
	Allotments	Expenditures	Balance (1)	Allotment (3)	Program	Program	Program	Program	Program
					Mark (4)	Mark (5)	Mark	Mark	Mark
Chicago (2)	987,781,883	872,872,870	114,909,013	25,241,672	140,150,685	76,565,771	53,404,388	53,404,388	53,404,388
North Shore	80,642,195	85,465,588	-4,823,393	3,740,771	-1,082,622	3,044,672	4,127,293	4,127,293	4,127,293
Northwest	150,301,406	142,861,140	7,440,266	7,852,329	15,292,595	9,857,745	8,663,684	8,663,684	8,663,684
North Central	80,224,031	81,334,188	-1,110,157	3,598,836	2,488,679	4,517,946	3,970,692	3,970,692	3,970,692
Central	58,688,682	63,309,678	-4,620,996	2,873,070	-1,747,926	1,422,009	3,169,935	3,169,935	3,169,935
Southwest	78,503,796	73,157,762	5,346,034	4,118,372	9,464,406	5,170,167	4,543,911	4,543,911	4,543,911
South	123,461,754	116,277,361	7,184,393	5,848,673	13,033,066	7,342,373	6,452,998	6,452,998	6,452,998
Subt.	571,821,864	562,405,717	9,416,147	28,032,051	37,448,198	31,354,912	30,928,513	30,928,513	30,928,513
DuPage	179,546,060	182,603,339	-3,057,279	10,259,804	7,202,525	12,880,067	11,319,916	11,319,916	11,319,916
Kane	86,975,364	81,600,860	5,374,504	5,609,601	10,984,105	7,042,243	6,189,223	6,189,223	6,189,223
Lake	117,623,841	116,656,673	967,168	7,300,313	8,267,481	9,164,749	8,054,632	8,054,632	8,054,632
McHenry	37,210,569	42,307,513	-5,096,944	2,978,533	-2,118,411	1,167,885	3,286,296	3,286,296	3,286,296
Will	72,065,072	68,924,308	3,140,764	4,978,987	8,119,751	6,250,575	5,493,450	5,493,450	5,493,450
Subt.	493,420,906	492,092,693	1,328,213	31,127,238	32,455,451	36,505,519	34,343,517	34,343,517	34,343,517
Regional Major Project (6)					5,661,176	6,246,127	6,246,127	6,246,127	6,246,127
Suburban Total	1,065,242,770	1,054,498,410	10,744,360	59,159,289	69,903,649	67,860,431	65,272,030	65,272,030	65,272,030
Regional Total	2,053,024,653	1,927,371,280	125,653,373	84,400,961	178,267,312	150,672,329	124,922,545	124,922,545	124,922,545

Notes: 1- The current council balances include estimates for STP projects in the June, 2010 letting. The project costs are as of May 10, 2010.

- 2- The Chicago STP for 2011 was reduced due to rescissions. The program reduction for a major project equals \$5,661,176 and the out years are \$6,246,127.
- 3- The allotments for the FFY 2011 program year are reduced to 90% due to Obligation Ceiling estimate.
- 4- The program Marks showing a negative balance in FFY 2011 indicates funds still owed by Councils to the program. The negative balance denotes a zero program balance for the year.
- 5- The FFY 2012 Program Marks are based upon the FFY 2010 FHWA apportionments; the estimates are not reduced by an Obligation Ceiling assumption. The city of Chicago rescission reduction is restored in this program year.
- 6- The City /Suburban Split includes a setaside of 5% of the total for an agreed upon regional project.

Table 3-4

CHICAGO METROPOLITAN AGENCY FOR PLANNING

NE ILLINOIS - REGIONAL PROGRAM FUNDING - CARRYOVER ANALYSIS

STP - Local	FFY 72-10 Allotments	FFY 72-10 Expenditures (1) 5/1/2010	FFY 72-10 Balance 5/1/2010			FFY 2000-2010 Allotments	FFY 2000-2010 Expenditures (1)	FFY 2000-2010 Balance
		0, 1,2010	<i>5. 17</i> <u>2</u> 0.10	TCSP		17,584,286	4,818,915	12,765,371
Chicago	987,781,883	872,872,870	114,909,013					
				(1)-Proje	ct expen	ditures and estima	ites are as of May	1, 2010.
North Shore	80,642,195		-4,823,393					
Northwest	150,301,406		7,440,266				FFY 2005-2010	
North Central	80,224,031	81,334,188	-1,110,157			Allotments	Expenditures (1)	Balance
West Central	58,688,682		-4,620,996	High Priorit	y Project	ts		
Southwest	78,503,796		5,346,034					
South	123,461,754		7,184,393	Chicago &	Suburbs	315,568,000	120,549,553	195,018,447
Subt	571,821,864	562,405,717	9,416,147	Regional		18,634,000		18,634,000
						334,202,000	120,549,553	213,652,447
DuPage	179,546,060	182,603,339	-3,057,279					
Kane	86,975,364	81,600,860	5,374,504	( 1 ) - Proje	ct expen	ditures are as of 5	/01/2010	
Lake	117,623,841	116,656,673	967,168					
McHenry	37,210,569	42,307,513	-5,096,944	Congression	nal Earn	narks (Surface Tra		
Will	72,065,072		3,140,764				FFY 2003-2010	
Subt	493,420,906	492,092,693	1,328,213		Yr.	Allotments	Expenditures (1)	Balance
				Sect. 330	(03)	8,693,125		0
Suburban Summary	1,065,242,770		10,744,360	Sect. 115	(04)	9,180,000		3,967,521
Regional Total	2,053,024,653	1,927,371,280	125,653,373	Sect. 117	(05)	9,052,139		5,506,216
				Sect. 112	(07)	9,594,250	5,568,112	4,026,138
				Sect. 129	(80)	5,169,500		5,169,500
			s are as of May 12, 2010.	Sect. 125	(09)	3,562,500	0	3,562,500
		iclude June 2010 lettin		Sect.	(10)	1,450,000		1,450,000
	FFY 92-10	FFY 92-10	FFY 92-10			46,701,514	23,019,639	23,681,875
STP	Allotments	Expenditures (1)	Balance					
Counties **	51,696,337	45,346,010	6,350,327	Federal Tr	ansit Ad	ministration		
** Kane. Lake. Mc	Henry and Will Cou	ınties Program this So	ırce.	Sect. 5339	Alterna	atives Analysis		
,, -	(1) -Status May 1				Yr.	,		
	( )				(09)	860,000	0	860,000
	FFY 92-10	FFY 92-10	FFY 92-10		` ,	,		,
	Allotments	Expenditures (2)	Balance	Sect 5309	New S	Starts		
						FFY 2003-2010	FFY 2003-2010	FFY 2003-2010
CMAQ	1,217,034,463	907,251,248	309,783,215			Allotments	Expenditures (1)	Balance
					Yr.		, , ,	
	(2) -Status May 1	- 2010			(80)	28,910,000	0	28,910,000
	` '				(09)	23,760,000	0	23,760,000
	FFY 93-09	FFY 93-09	FFY 93-09		` ,	52,670,000	0	52,670,000
	Allotments **	Expenditures (2)	Balance					
STP - Enhancement	142,846,424	101,239,899	41,606,525					
S Emanomicht	1 12,0 10,424	101,200,000	11,000,020					
	** Costs reflect th	ne original project estim	nates					
	(2) -Status May 1							
	• •							

Table 3 - 5 Statewide Highway Resources and Highway Program Analysis (All figures are in millions)

	ı	FFY 2011		ı	FFY 2012			FFY 2013	ies ale iii ii	,	FFY 2014			FFY 2015		FF	Y 2011 - 20	015
Resources		Match			Match													
(Statewide) (A)(1)	Federal	Needs	Total	Federal	Needs	Total												
FAI Maintenance	332.847	36.983	369.830	332.847	36.983	369.830	332.847	36.983	369.830	332.847	36.983	369.830	332.847	36.983	369.830	1,664.235		1,849.150
NHS	283.808	70.952	354.760	283.808	70.952	354.760	283.808	70.952	354.760	283.808	70.952	354.760	283.808	70.952	354.760	1,419.040		1,773.800
HBRRP	183.630	45.908	229.538	183.630	45.908	229.538	183.630	45.908	229.538	183.630	45.908	229.538	183.630	45.908	229.538	918.150		1,147.688
Equity Bonus	94.568	23.642	118.210	94.568	23.642	118.210	94.568	23.642	118.210	94.568	23.642	118.210	94.568	23.642	118.210	472.840	118.210	591.050
STP (Note B)	115.874	28.969	144.843	115.874	28.969	144.843	115.874	28.969	144.843	115.874	28.969	144.843	115.874	28.969	144.843	579.370	144.843	724.213
Safety (HSIP) STP (Enhancement 10%)	67.358 36.747	7.484 9.187	74.842 45.934	336.790 183.735	37.421 45.934	374.211 229.669												
High Priority Projects	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Recreational Trails	1.769	0.442	2.211	1.769	0.442	2.211	1.769	0.442	2.211	1.769	0.442	2.211	1.769	0.000	2.211	8.845	2.211	11.056
Safe Routes to Schools	7.554	0.000	7.554	7.554	0.000	7.554	7.554	0.000	7.554	7.554	0.000	7.554	7.554	0.000	15.108	37.770	0.000	45.324
Sale Notices to Schools	1.124.155	223.566	1.347.721	1.124.155	223.566	1.347.721	1.124.155	223.566	1.347.721	1.124.155	223.566	1.347.721	1.124.155	223.566	1.355.275	5.620.775		6.746.160
	1,124.100	220.000	1,047.721	1,124.100	220.000	1,047.721	1,124.100	220.000	1,047.721	1,124.100	220.000	1,047.721	1,124.100	220.000	1,000.270	0,020.770	1,117.001	0,140.100
State Only and Matching Funds		1,123.000			885.000			885.000			885.000			885.000			4,663.000	4,663.000
Progam																		
FAI Maintenance	154.482	17.323	171.805	30.850	3.650	34.500	70.025	8.225	78.250	66.875	7.875	74.750	0.000	0.000	0.000	322.232	37.073	359.305
NHS	186.555	48.173	234.728	267.616	67.758	335.374	38.419	9.965	48.384	33.200	8.300	41.500	1.102	0.000	1.504	526.892	134.196	661.490
HBRRP	96.244	23.991	120.235	32.494	15.326	47.820	26.749	6.000	32.749	4.706	1.177	5.883	3.955	0.000	4.944	164.148	46.494	211.631
Equity Bonus	5.720	24.280	30.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	5.720	24.280	30.000
STP (Note B)	93.873	29.016	122.889	60.114	15.995	76.109	64.449	19.215	83.664	1.795	1.010	2.805	0.000	0.000	0.000	220.231	65.236	285.467
Safety (HSIP)	24.449	3.456	27.905	14.843	1.827	16.670	3.722	0.458	4.180	7.225	1.265	8.490	4.479	0.581	5.060	54.718	7.587	62.305
STP (Enhancement 10%) >> co	7.524	1.883	9.407	0.534	2.005	2.539	0	0.000	0	0.000	0.000	0.000	0.000	0.000	0.000	8.058	3.888	11.946
High Priority Projects	104.270	56.319	160.589	30.353	21.957	52.310	16.052	4.793	20.845	0.000	0.000	0.000	33.000	9.500	42.500	183.675	92.569	276.244
Recreational Trails	0.320	0.080	0.400	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.320	0.080	0.400
Safe Routes to Schools	1.760	0.000	1.760	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	1.760	0.000	1.760
	675.197	204.521	879.718	436.804	128.518	565.322	219.416	48.656	268.072	113.801	19.627	133.428	42.536	10.081	54.008	1,487.754	411.403	1,900.548
Illinois Only Available		918.479			756.482			836.344			865.373			874.919			4,251.597	
Illinois Only Program		374.001	374.001		240.075	240.075		22.365	22.365		9.833	9.833		26.000	26.000		672.274	
Resources		Match			Match													
	Federal	Needs	Total	Federal	Needs	Total												
( Regionwide FHWA ) (C)																		
STP Local	137.227	45.742	182.969	137.227	45.742	182.969	137.227	45.742	182.969	137.227	45.742	182.969	137.227	45.742	182.969	686.135	171.534	857.669
STP Counties	5.227	1.307	6.534	5.227	1.307	6.534	5.227	1.307	6.534	5.227	1.307	6.534	5.227	1.742	6.969333	26.135	6.534	32.669
CMAQ	114.782	28.696	143.478	114.782	28.696	143.478	114.782	28.696	143.478	114.782	28.696	143.478	114.782	28.696	143.478	573.910	143.478	717.388
	257.236	75.745	332.981	257.236	75.745	332.980583	257.236	75.745	332.9806	257.236	75.745	332.9806	514.472	151.4892	665.9612	1,543.416	385.854	1,929.270
Progam																		
STP Local	121,475	65.085	186.560	135.205	99.418	234.623	65.165	25.272	90.437	26.040	128.788	154.828	13.505	23.807	37.312	361.390	342.370	703.760
STP Counties	0.064	0.000	0.064	6.248	0.839	7.087	00.100	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	6.312	0.839	7.151
CMAQ	88.147	27.536	115.683	35.606	13.403	49.009	0	0.000	0.000	0.000	0.000	0.000	0.938	2.812	3.750	124.691	43.751	168.442
	209.686	92.621	302.307	177.059	113.660	290.7186	65.165	25.272	90.437	26.04	128.788	154.828	52.08	26.619	309.656	530.030	132.508	662.538
Local Government Match Funds		346.674			346.674			346.674			346.674			346.674			1,733.370	

Notes: A- Statewide highway resources are estimates of highway funding by category based upon FHWA authorizations or appropriations and State appropriations. B- STP funding - Estimates for statwide expenditures of STP funds.

C- Regional resources are distributions of funds allocated by federal law or local agreement.

Table 3 - 6 Regional Transit Resources and Program Analysis

(All Figures are in Millions)

Federal Resources (1)	1	FFY 2010		F	FY 2011		1	FFY 2012			FFY 2013		FFY	' 2014 - 201	5		Summary ' 2010 - 201	5
Federal Resources (1)		Match			Match			Match			Match			Match			Match	
Regionwide FTA	Federal	Needs	Total	Federal	Needs	Total	Federal	Needs	Total	Federal	Needs	Total	Federal	Needs	Total	Federal	Needs	Total
Sect. 5307/ 5340	251.300	62.825	314.125	262.800	65.700	328.500	273.300	68.325	341.625	284.200	71.050	355,250	295.500	73.875	369.375	1.367.100	341.775	1.708.875
Sect. 5309(m)(2)(B) (Rail)	174.900	43.725	218.625	180.500	45.125	225.625	187.700	46.925	234.625	195.300	48.825	244.125	203.100	50.775	253.875	941.500	235.375	1.176.875
Sect. 5309(m)(1)(C) (Bus)	4.340	1.085	5.425	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	4.340	1.085	5.425
Sect. 5339 (Alternatives Analysis)	0.149	0.037	0.186	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.149	0.037	0.186
Sect. 5307 (SEWRPC)	0.122	0.000	0.122	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.122	0.000	0.122
Sect. 5309(m)(1)(C) Carryover	8.551	2.138	10.689	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	8.551	2.138	0.000
Total	439.362	107.672	538.483	443.300	110.825	554.125	461.000	115.250	576.250	479.500	119.875	599.375	498.600	124.650	623.250	2,313.089	578.272	2,891.361
Program Totals																		
Federal Program Summary by Agency																		
CTA	281.700	0.000	281.700	294.100	0.000	294.100	311.061	0.000	311.061	278.100	0.000	278.100	289.200	0.000	289.200	1,454.161	0.000	1,454.161
Metra	154.153	0.603	154.756	121.620	0.000	121.620	140.325	0.000	140.325	144.810	0.000	144.810	154.153	0.603	154.756	715.061	1.206	716.267
Pace (2)	42.623	0.000	42.623	31.300	0.000	31.300	35.660	0.000	35.660	34.880	0.000	34.880	42.623	0.000	42.623	187.086	0.000	187.086
Total	478.476	0.603	479.079	447.020	0.000	447.020	487.046	0.000	487.046	457.790	0.000	457.790	485.976	0.603	486.579	2,356.308	1.206	2,357.514
Locally Funded Programs																		
Illinois Transit Funds (3)		787.652			580.512			489.547			500.115			295.119		0.000	2,652.945	0.000
RTA Funds		0.000			5.400			4.400			4.395			0.000		0.000	14.195	0.000
Service Board Funds	_	14.750		_	10.250		_	10.250		_	10.250		_	0.000		0.000	45.500	0.000
Total	_	802.402		_	596.162		_	504.197			514.760		-	295.119		0.000	2,712.640	0.000
Federal Match & Local Program Total		803.005			596.162			504.197			514.760			295.722			2,713.846	
Total Regional Resources (4)		1,200.727			629.537			577.321			577.321			577.321			3,562.227	
Remaining Match Resource		397.722			33.375			73.124			62.561			281.599			848.381	
Resources																		
Sect. 5309(m)(2)(A) (New Starts)	17.305	4.326	21.631	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	17.305	4.326	21.631
Sect. 5309(m)(2)(A) Carryover	23.760	5.940	29.700	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	23.760	5.940	29.700
	41.065	10.266	51.331	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	41.065	10.266	51.331
Program Totals																		
CTA (5)	127.945	0.000	127.945	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	127.945	31.986	159.931
Metra (6)	8.000	0.000	8.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	8.000	2.000	10.000
	135.945	0.000	135.945	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	135.945	33.986	169.931

- Notes: 1- Resources are from the RTA Capital Programming Marks; 5-20-2010.
  - 2- The Pace program for FY 10 includes a carryover funding for Sect 5307 @ \$5.95 from the RTA FY 09 budget.
  - 3- Illinois transit funds are from bonding by the State, RTA and CTA.
  - 4- Regional Resources include RTA Funds, Service Board Funds, Capital Bonding from State of Illinois, RTA and CTA.
  - 5- The CTA Program includes funding from the RTA budget for FY08 and FY 08 (Circle Line) from Alternatives Analysis (5339) and \$34.5M for Sect 5309 (Bus) and \$112.7 for Sect 5309 (New Start) for the BRT system grant pending approval by FTA.

    6- The Metra program contains \$23.76M in New Start funding from the RTA FY 2009 budget.

The fiscal consistency for the program is summarized in Tables 3-5 and 3-6. Table 3-5 assesses the FHWA and State funding for the statewide and regional highway program. The figures for FY 2010 exceed the reasonably expected funding for FY 2010 but are in balance. The carryover funding from previous years added to the base funding estimates demonstrate that the FY 2010 program is in balance. Funding for the bridge program and the State's STP, and the Enhancement program include carryover funding which includes restored funding from the September 30, 2009 rescission. The major funding categories (Interstate, NHS, STP and Bridge) programs and the State funding reflect the appropriations for Illinois by the FHWA and the IDOT *Proposed Highway Programs FY* 2010 – 2015 and FY 2011 - 2016.

Table 3-6 assesses the FTA programs and the region's RTA and Service Boards funding. The currently defined programs for Section 5309(m)(1)(A) and Section 5307 are within the resources estimated. In the case of program funding for "New Starts" the program estimates represent funding distributions based on Full Funding Grant agreements for regional projects designated in *TEA-21 and SAFETEA-LU*. The current program includes the CTA's BRT project. This project is included at this time based upon the imminent approval of the BRT grant by the FTA. With the passage of *SAFETEA-LU*, ten new projects were made eligible for New Start funding. The projects are eligible for alternatives analysis and preliminary engineering.

The fiscal plan presents resources available to make improvements and develop the six-year program. Part of the process of defining resources is tracking the execution of previous programs. Table 3-7 displays program expenditures for funding components contained in former TIPs. This summary is developed from various state reports, project accounting for the various regional funding categories, and from annual and grant reports.

Table 3-7 summarizes awards for fiscal years 2004-2009. FY 2004-2009 expenditures reflect funding from *TEA-21 and SAFETEA-LU*. In all cases, the funds shown reflect program awards and obligations. Not included as part of this summary are expenditures by the Illinois Tollway and local governmental units using non-federal resources.

A requirement under the *TEA-21* and continued in *SAFETEA-LU* is the assessment of the operation and maintenance of the transportation system. Each element of the system has developed a characteristic operating and maintenance budget system. The various jurisdictions develop their estimates for operations and maintenance through their budgeting process. The funding and projects presented in the TIP represent the capital improvement portion of the program.

In the case of transit for northeastern Illinois, the RTA develops a financial capacity analysis. A current capacity analysis is unavailable, in its place an assessment based on the RTA Annual Reports and the RTA "Operating Budget, Two-Year Financial Plan and Five-Year Capital Program". Table 3-8 presents the capacity assessment on operations for the period 2010 to 2012. The figures presented are based on an assessment of the latest operating conditions and economic assessment in determining system costs and revenue projections.

Table 3-7
Chicago Metropolitan Agency for Planning

#### NE ILLINOIS - TRANSPORTATION PROGRAM AWARDS FOR FISCAL YEARS 2004 - 2009

Categories	FY 04	FY 05	FY 06	FY 07	FY 08	FY 09	Total	Fund %
======= ==============================	185,852,462	======== = 130,903,673	441,267,692	80,755,178	35,360,856	======== = 21,104,257	895,244,118	17.03%
I Al	005,002,402	0	0	00,733,170	33,300,030	21,104,237	033,244,110	17.0370
NHS	43.861.496	104,130,023	87,900,695	10,192,563	122,845,128	8,326,233	377,256,138	7.18%
HBRRP	35,635,388	18,029,337	11,364,997	42,557,023	31,068,074	37,849,646	176,504,465	3.36%
Equity Bonus	19,674,480	21,591,156	0	0	4,691,210	0	45,956,846	0.87%
High Priority Projects (SAFETEA-LU)	0	1	713,600	25,027,004	12,825,589	40,884,625	79,450,819	1.51%
High Priority (TEA-21)	11,482,802	5,114,000	1,162,061	2,862,266	769,128	6,146,606	27,536,863	0.52%
TCSP	1,991,307	2,415	209,220	1,470,553	158,247	67,363	3,899,105	0.07%
Natl Corridor/ Natl Reg. Signif.	7,875,000	4,047,711	15,171,938	518,000	4,357,928	10,971,511	42,942,088	0.82%
Create	0	0	0,171,550	010,000	11,026,686	11,360,000	22,386,686	0.43%
Other Federal (1)	300,000	0	1,115,521	624,612	559,000	618,104	3,217,237	0.06%
Amer. Recovery & Recon. (ARRA)	0	0	1,113,321	024,012	0	332,266,685	332,266,685	6.32%
STP-State	0	0	731,395	6,693,036	23,276,255	5,772,999	36,473,685	0.69%
STP-Local	78,346,831	49,665,484	60,247,803	81,495,019	80,940,415	97,982,763	448,678,315	8.53%
HSIP/STP-HWY/RR	5,611,039	49,005,404	3,100,804	10,086,565	13,649,347	20,827,884	53,275,639	1.01%
STP-TE	5,307,472	457,584	3,034,804	7,107,622	5,197,649	6,503,190	27,608,321	0.53%
CMAQ/FHWA	38,578,683	49,348,409	22,582,259	22,177,412	39,112,382	27,067,801	198,866,946	3.78%
CMAQ/FRVVA	30,570,003	49,340,409	22,562,259	22,177,412	39,112,362	27,007,001	190,000,940	3.70%
Matching Funds (2)	115,381,126	91,349,079	111,133,026	104,631,358	151,671,974	111,741,858	685,908,421	13.05%
Illinois (Only)	245,719,550	299,305,108	363,793,525	255,448,949	253,608,450	382,014,657	1,799,890,239	34.24%
FHWA Subt.	795,617,636	773,943,980	1,123,529,340	651,647,160	791,118,318	1,121,506,182	5,257,362,616	100.00%
Sect 3507 (2)	200,281,398	209,948,663	200,392,386	272,246,017	226,526,846	231,222,942	1,340,618,252	29.96%
Sect 5309 (Rail Formula)	133,225,954	105,867,317	142,400,216	150,784,090	159,065,945	155,619,662	846,963,184	18.93%
Sect 5093 (Rail New Start)	144,674,291	175,584,000	124,796,133	77,847,886	39,200,000	40,569,031	602,671,341	13.47%
Sect 5309 (Disc Bus)	515,200	1,724,800	3,539,319	3,506,184	3,798,366	1,256,162	14,340,031	0.32%
Other Federal	4,232,141	980,951	3,293,656	6,382,924	23,542,793	31,853,106	70,285,571	1.57%
Amer. Recovery & Recon. (ARRA)	, , ,	0	0	0	0	426,006,485	426,006,485	9.52%
CMAQ/FTA	30,371,600	28,124,612	35,275,639	5,948,820	6,486,850	26,416,480	132,624,001	2.96%
Matching Funds (2)	119,270,346	67,843,967	82,717,410	35,529,715	40,213,148	54,030,051	399,604,637	8.93%
Local/RTA	387,076,793	50,936,000	87,208,250	38,056,810	10,599,768	63,201,001	637,078,622	14.24%
III-Only	0	5,000,000	0	0	0,599,768	na	5,000,000	0.11%
FTA Subt.	1,019,647,723	646,010,310	679,623,009	590,302,446	509,433,716	1,030,174,920	4,475,192,124	100.00%
Regional Total	1,815,265,359	1,419,954,290	1,803,152,349	1,241,949,606	1,300,552,034	2,151,681,102	9,732,554,740	

Notes:

1- Other Federal - Funding from: ITS; Congressional Priority Projects and Safe Routes to School.

Category Codes:

I-M: Interstate Maintenance NHS: National Highway System HBRRP: Special Bridge Funds STP: Surface Transportation Funds STP-TE: Transportation Enhancement CMAQ: Congestion Mitigation Air Quality Sect 5307: Urban Formula grants Sect 5309: Formula for Rail Modernization

Sect 5309: Discretionary; New Starts Sect 5309: Discretionary; Bus & Bus Facilities

na: not available

<sup>2-</sup> The federal categorical funds are based on reported low bids with the federal shares estimated. Matching funds are summarized for the federal programs.

The capacity assessment portrays operating expenses of \$2.233 billion in FY 2010 with a projection of expenses rising to \$2.371 billion in FY 2011. Resources for a comparable period show \$2.505 billion in FY 2010 and projected revenues of \$2.618 billion in FY 2011. In the capacity assessment for the years FY 2010 through 2012, revenues are projected to meet a balanced budget. Additional actions in defining new revenues may be increasing fares or reducing operating expenses and will be examined in the RTA's strategic plan currently in progress. Revenues from the 2008 RTA audit report resulted in \$3.137 billion in resources for operations and capital improvements. Expenditures totaled \$3.100 billion resulting in a positive balance of \$36.6 million.

In the case of the highway element, responsibility for operations, maintenance, and capital improvements has developed into a complex system in Illinois. Northeastern Illinois has over 370 governmental units having responsibility over the public roadway system. This includes the state, 7 counties, 283 municipalities and 106 townships. These jurisdictions operate and maintain over 24,124 miles of roadways.

Illinois has a shared funding support mechanism to provide funding for these jurisdictions. Direct state assistance is provided in the distribution of motor fuel taxes (MFT) to the local governments. In FY 2009, the redistribution of MFT funds to northeastern Illinois totaled \$309 million. With this as a base, the local government resources for operating and maintaining the local systems would total over \$1.496 billion for the year FY 2009. Estimates of local government resources are shown in Table 3-9. The local government funding estimates are arrived at using a variety of sources. Included are: IDOT for state MFT; the Illinois Department of Revenue for property taxes; and budget documents for the city of Chicago and the seven counties. The estimate for general taxes by municipalities is developed from a series of surveys on municipal finance and expenditures for transportation. The surveys were designed to establish resources and determine operation/maintenance costs and expenditures for capital improvements.

Based on the municipal surveys, overall estimated resources from this level of government exceeds \$762 million, with resources budgeted for operation and maintenance representing 40-43.5% of all resources dedicated to transportation. Using the high end of the range, over \$331 million would be available for operations and \$430 million would be available for capital improvements. The MFT awards for capital improvements and maintenance shown in Table 3-9 exceeds \$156 million in capital and maintenance expenditures.

Table 3-8

Regional Transit Financial Capacity Assessment

Revenues	2005 Actual	2006 Actual	2007 Actual	2008 Actual	2009 Estimate	2010 Budget	2011 Plan	2012 Plan
Service Board Revenue	840,648	882,716	896,929	1,207,197	1,228,597	975,102	1,070,752	1,207,132
RTA Sales Tax I	700,395	746,829	752,922	726,689	640,000	649,600	668,438	687,823
RTA Sales Tax II	-	-	-	194,556	237,315	240,875	247,860	255,048
RTA PTF I	175,668	186,136	188,931	188,830	160,000	162,400	167,110	171,956
RTA PTF II	-	-	-	38,371	109,195	111,343	114,980	118,706
State - Finance Assistance	111,419	112,743	117,807	121,870	122,000	122,000	122,000	122,000
State - Free Rides/Fare Reimburse	37,127	37,327	36,678	38,801	33,570	36,800	36,800	36,800
State - ADA Funding	54,252	54,252	54,252	-	-	8,500	8,500	-
State - Debt Service	-	-	-	-	-	5,400	10,800	10,800
Federal 5307 (Prevent Maint)	-	-	-	-	-	175,300	153,000	65,000
RTA - Other Revenue	17,196	17,616	23,104	17,600	17,600	17,600	17,600	17,600
Summary	1,936,705	2,037,619	2,070,623	2,533,914	2,548,277	2,504,920	2,617,840	2,692,865
Operating Expenses								
Service Boards	1,768,411	1,908,165	1,921,659	2,088,715	2,130,764	2,192,628	2,329,204	2,425,034
RTA	26,338	32,542	32,712	64,647	55,157	40,375	41,421	42,494
Summary	1,794,749	1,940,707	1,954,371	2,153,362	2,185,921	2,233,003	2,370,625	2,467,528
Total Available - Capital / Debt Service	141,956	96,912	116,252	380,552	362,356	271,917	247,215	225,337

Sources: 1- 2010 Operating Budget, Two-Year Financial Plan and Five-Year Capital Program, RTA.

2- Comprehensive Annual Financial Report, Dec. 31, 2008; RTA

Table 3 - 9
FY 2009 Summary of Local Jurisdiction Capital Improvements and Maintenance and Revenues

Resources		Counties			Municipalit	ies		Townships	S		Summary	
State MFT (1) Other MFT (Local) (2) / co RTA Sales Tax ( Counties) General Taxes (3) General Property Taxes (4)	Ş	- Summary -	97,453,019 31,425,613 101,332,832 49,818,634 57,896,489 337,926,587		-	206,236,363 99,071,746 0 712,224,116 17,277,025 1,034,809,250		-	5,459,217 0 0 0 117,701,517 123,160,734			309,148,599 130,497,359 101,332,832 762,042,750 192,875,031 1,495,896,571
Expenditures (5)												
Capacity Additions Interstate (A) Principal Arterials Arterials	Miles 0.00 0.00 0.51	Cost (B) 0 2,167,728	Other (C) 0 0	Miles 0.00 0.00 0.00	Cost	Other	Miles 0.00 0.00 0.00	Cost	Other	Miles 0.00 0.00 0.51	Cost 0 0 2,167,728	Other 0 0 0
Subt.	0.51	2,167,728	0	0.00	0	0	0.00	0	0	0.51	2,167,728	0
Improvements/Maintenance Interstate Principal Arterials Arterials	0.00 0.00 41.15	0 51,606,506	0 4,179,945	0.00 0.00 0.00			0.00 0.00 0.00			0.00 0.00 41.15	0 0 51,606,506	0 0 4,179,945
Local Roads/Streets Off-System (D)	0.00 0.00		125,501	170.79 0.00	71,260,314	5,392,546 280,846	34.70 0.00	2,216,625	99,525	205.49 0.00	73,476,939 0	5,492,071 406,347
Maintenance (E) Subt.	0.00 41.15	51,606,506	13,224,631 17,530,077	0.00 170.79	71,260,314	5,596,641 11,270,033	0.00 34.70	2,216,625	348,025 447,550	0.00 246.64	0 125,083,445	19,169,297 29,247,660
Summary	41.66	53,774,234	17,530,077	170.79	71,260,314	11,270,033	34.70	2,216,625	447,550	247.15	127,251,173	29,247,660
Summary of Expenditures			71,304,311			82,530,347			2,664,175			156,498,833

#### Sources/Notes

- State MFT distributions; IDOT, Bureau of Local Roads and Streets. Cook County distribution reduced by \$43.5 M, for transfers to county court system and CTA. State MFT for FY 09.
- 2- Local MFT County Option MFT: Du Page, Kane, and McHenry counties for FY 09. City of Chicago vehicle tax funds budgeted for FY 09.
- 3- Local taxes- Estimated general taxes collected by the various jurisdictions including: sales, Utility, intergovernmental transfers and bonding. Estimated based on FY 2009 MFT. <<<<</p>
- 4- Property taxes for tax year 2007; Illinois Department of Revenue.

- 5- Local jurisdiction expenditures are from State Contractors Bulletin; as reported. Awards are for projects adverized from July 1 thru June 30. Non-MFT expenditures are not included. Mileage advertized as Non-MFT: Counties: 27.57; Municipalities:33.87;Townships: 31.48. Mileage stated as centerline miles. Unreported MFT mileage advertized: Counties: 11.02; Municipalities:28.91; Townships:10.74.
- A- System designation is based on functional classification.
- B- Costs summarize the expenditures for resurfacing, widening and reconstruction. Mileage stated is centerline miles.
- C- Other denotes other major improvements i.e. signal installation, bridges, intersections and lighting.
- D- Off-system denotes improvements for sidewalks and bikeways.
- E- Maintenance summarizes expenditures for contracted services, materials and general maintenance contracts.

The state program has a similar process. Motor fuel taxes are deposited into the Road Fund. Debt service and operations and maintenance are funded from the Road Fund with the remainder used for construction and grants. The State Construction Account is funded through the transfer of the gas tax differential between regular fuels and diesel fuels, and vehicle registration fees collected by the Secretary of State. This fund is used exclusively for construction.

The statewide matching resources of \$5.102 billion shown in table 3-1 represent the amount available for the capital portion of the IDOT program. After matching the estimated federal funding, approximately \$3.881 billion would be available for implementing state-funded projects. The large funding amount is due to the recent capital improvement program by the legislature. The general operating budget for FY 2008 exceeds \$700 million statewide, with the District 1 operations budget set at \$161.7 million.

As noted above, Illinois has a shared responsibility in funding and operating the roadway system. Table 3-9 displays an estimate of local jurisdiction revenue resources and expenditures. These revenues are used to operate and maintain the systems and provide funding for capital improvements. The resources summary includes: the redistribution of State motor fuel taxes; other motor fuel taxes dedicated to transportation or budgeted by the local jurisdictions; general property taxes; and general taxes and bonding assessed by local governments. In total, \$1.496 billion has been estimated to be available to operate and maintain the local roadway systems and provide matching for the capital program using federal funds.

The local jurisdiction fund estimate is arrived at using a variety of sources, including IDOT for State motor fuel taxes, the Illinois Department of Revenue for other motor fuel taxes, the RTA regional sales tax to counties and information included in the budget ordinance for the city of Chicago and the counties of the northeastern Illinois area. General property taxes for collection in 2008 are from data obtained from the Illinois Department of Revenue. The estimates of general taxes were developed from a survey of municipalities in northeastern Illinois in 1996. This survey was designed to obtain information on resources used by municipalities and the uses of this funding for operations and maintenance and capital investments.

The second portion of table 3-9 identifies a portion of local jurisdiction expenditures. The main source in identifying expenditures is the state "Contractors Bulletin". This document provides a place to advertise for motor fuel tax and locally funded projects. The expenditure portion of the table summarizes the reported bids for jobs ranging from constructing lane additions to maintenance contracts for signals and lighting. In FY 2009, reported expenditures totaled \$156 million. Due to the nature of some of the contracting, not all motor fuel tax awards are accounted for in the bulletin.

The general distribution for local system investment reported during FY 09 was 0.0% in capacity additions, 87.8% for improvements to the local road system and 12.2% for routine maintenance. In the category of improvements and maintenance, the predominant investment was for resurfacing of county, local municipal streets and township roads. Total system resurfacing amounted to over 247 miles using MFT funds; with an additional 93 miles advertised using non-MFT funds. The reported costs for resurfacing of suburban streets and

arterials totaled \$127.3 million. Local jurisdiction miles for northeastern Illinois in 2009 totaled approximately 21,139 center-line miles.

In summary, with total estimated resources available of over \$1.054 billion, the region is meeting its operations and maintenance needs for the local system.