



CMAQ Programming and Management Policies: Processing Deferrals

When a project phase fails to meet the accomplishment goals below by the end of the Federal Fiscal Year (September 30) in which the phase sunsets, that phase and all subsequent phases will be changed from approved status to deferred status. Funding for deferred phases will be removed from the CMAQ program and from the TIP.

For projects administered through the FTA, all phases are accomplished when FTA grant *approval* for the phase is received.

For projects administered through FHWA, accomplishment is defined as:

- Phase 1 Engineering – design approval
- Phase 2 Engineering – pre-final plans submitted to IDOT District 1
- ROW – ROW certified by IDOT District 1
- Construction – Has been let for bid
- Implementation – Has received federal authorization

If a phase facing deferral has not been accomplished, but has received federal authorization, the funds for that phase will remain in the CMAQ program and the TIP, but funding for all subsequent phases will be removed from the TIP.

For phases that have been deferred, the funds can be reinstated one phase at a time when the prior phase is accomplished *and* the deferred phase has demonstrated readiness (defined below) as long as there are excess CMAQ funds available for programming. This can be handled as an administrative change to the CMAQ program by CMAP staff. TIP changes will be subject to [TIP Change Procedures](#).

	Local Projects	CDOT	Transit	IDOT
Phase I Engineering	Locally Executed Local Agency Agreement sent to IDOT Central Office for Execution	Locally Executed IPA sent to IDOT Central Office for Execution	Inclusion in the RTA Program	n/a
Phase II Engineering	Locally Executed Local Agency Agreement sent to IDOT Central Office for Execution	Locally Executed IPA sent to IDOT Central Office for Execution	Inclusion in the RTA Program	n/a
ROW Acquisition	Locally Executed Local Agency Agreement sent to IDOT Central Office for Execution	Locally Executed IPA sent to IDOT Central Office for Execution	Inclusion in the RTA Program	When ROW is included in the IDOT program
Construction	Pre-final Plans at IDOT BLRS for Review	Locally Executed IPA sent to IDOT Central Office for Execution	Inclusion in the RTA Program	When Design Approval is achieved or when Construction is included in IDOT program.
Implementation	Case by case basis, in general – locally executed agreement sent to IDOT Central Office for Execution	Case by case basis, in general - Locally Executed IPA sent to IDOT Central Office for Execution	Inclusion in the RTA Program	n/a